

Select Commission Briefing - 3.00 p.m.

SELF REGULATION SELECT COMMISSION

**Venue: Town Hall,
Moorgate Street,
Rotherham.**

Date: Thursday, 8th January, 2015

Time: 3.30 p.m.

A G E N D A

1. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972.
2. To determine any item which the Chairman is of the opinion should be considered as a matter of urgency.
3. Apologies for Absence.
4. Declarations of Interest.
5. Questions from Members of the Public and the Press.
6. Communications.

For Decision:-

7. Minutes of the previous meeting held on 23rd October, 2014 (herewith) (Pages 1 - 10)
8. Corporate Plan Outcomes - Verbal Update by the Chief Executive.
9. Revenue Budget Monitoring for the period ending 31st October 2014 (report herewith) (Pages 11 - 26)
10. Capital Programme Monitoring 2014/15 and Capital Programme Budget 2015/16 to 2016/17 (report herewith) (Pages 27 - 47)

For Monitoring:-

11. Exclusion of the Press and Public
The following item is likely to be considered in the absence of the press and public as being exempt under Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to the financial or business affairs).
12. Housing Rent Increase 2015-16 (report herewith) (Pages 48 - 53)
13. District Heating Scheme Charges 2015-16 (report herewith) (Pages 54 - 57)
14. Date and Time of Next Meeting - Thursday, 19th February, 2015 at 3.30 p.m.

SELF REGULATION SELECT COMMISSION
23rd October, 2014

Present:- Councillor Currie (in the Chair); Councillors Cutts, Ellis, Reeder, Sharman, Smith, Wallis, Watson and Whelbourn.

Apologies for absence were received from Councillors McNeely Smith and Wallis.

Councillor Roche, Adviser, Children and Education Services was in attendance for item 33 regarding the Safeguarding Children and Families' Services focus, on behalf of the Cabinet Member for Children and Education Services. Councillor J. Hamilton and Ahmed of the Improving Lives Select Commission had given their apologies for this item.

29. DECLARATIONS OF INTEREST

No Declarations of Interest were made.

30. QUESTIONS FROM MEMBERS OF THE PUBLIC AND THE PRESS

There were no members of the public or the press in attendance.

31. COMMUNICATIONS

Nothing was raised under this item.

**32. MINUTES OF THE PREVIOUS MEETING HELD ON 18TH
SEPTEMBER, 2014**

The minutes of the previous meeting of the Self-Regulation Select Commission held on 18th September, 2014, were considered.

Under Minute No. 27 (Complaints – annual report - April 2013 – March 2014) discussion continued on the classification of complaints and issues arising from Councillors' surgeries. How were these recorded – were they surgeries or complaints? If they were not recorded as complaints the data about complaints was incomplete. Very often Councillors resolved issues at surgery level which could well have been reported through the complaints process. Consideration needed to be given to how the constituent bringing the issue to the surgery wanted it to be recorded – either as a complaint or as a surgery request. Data was collected both on complaints and on service requests.

Councillor Whelbourn asked for clarification on whether the paper-based complaints forms had been withdrawn and how Elected Members could report complaints reported at their surgeries.

Resolved: - (1) That the minutes of the previous meeting be agreed as a correct record.

(2) That a response be provided to Councillor Whelbourn regarding methods for reporting complaints.

33. REVENUE BUDGET MONITORING FOR THE PERIOD ENDING 31ST AUGUST 2014

Councillor S. Currie, Chair of the Self-Regulation Select Commission, introduced Stuart Booth, Director of Finance, Joanne Robertson, CYPS and Schools' Finance Manager (Financial Services, Resources Directorate), and Paul Dempsey, Service Manager for Family Placements and Residential (Safeguarding Children and Families' Services, Children and Young People's Services Directorate).

The Director of Finance presented the submitted report on budget monitoring information for the period ending 31st August, 2014, for the first five months of the 2014/2015 financial year. This had been considered at the Cabinet Meeting that had been held on 15th October, 2014 (Minute No. C73 refers).

Overall, the Council was forecast to over-spend on the 2014/2015 Revenue Budget by £3.105millions. This represented an increase of 1.5% against the total budget. The main reasons for the overspend related to continuing service demand and cost pressures for safeguarding vulnerable children and young people across the Borough, cost pressures relating from some schools converting to academies, income pressures within the Economic and Development Services Directorate and ICT department, and demand pressures for Direct Payments within older people and physical and sensory disability clients.

Other information included: -

- 44 employees had been approved to access voluntary early retirement/voluntary severance, and 34 applications were currently being considered. Savings arising from the approved applications had been reflected in the forecast outturn position;
- The Chief Executive had used his delegated authority to implement a moratorium on non-essential spend;
- There were a small number of historic recurrent budget pressures across the Council where permission had been sought for a permanent budget virement to address these;
- Revenue staff savings from the day of industrial action that had taken place on 10th July, 2014, had amounted to £86,000 and had been used to reduce staff cost pressures;
- Continued close management of spend remained essential if the Council was to deliver a balanced outturn, in-year financial performance and overall financial resilience;
- It was forecast that the budgeted levels of Council Tax and Business rates would be achieved;
- The agency, consultancy and non-contractual overtime costs for each Directorate were considered at their outturn for the 2013/2014

financial year, and a comparison of spend at August 2013 and August 2014 was supplied. Overall, all spends as at August 2014 were lower than the same point in 2013.

The submitted report provided information about the annual budget allocation for 2014/2015 for each Directorate/Service and the Housing Revenue Account, their projected outturn and the forecast variation after actions. A commentary was also provided that outlined the reasons for the variations against annual budget at appendix one.

The submitted report outlined the proposals that the Cabinet had accepted to allow the £1.4 million under-spend from the voluntary early retirement and voluntary severance budget. The virements to Services were outlined along with why they were necessary: -

- £700k recurrent ICT income pressure;
- £97k to address the forecast recurrent income pressure in Parking Services;
- £437k to address the non-delivery of corporate commissioning savings targets set in the previous years;
- £166k to address previous years' unrealisable income targets associated with proposed Housing related customer service developments;
- The balance of the uncommitted budget on the Central Services Local Investment budget totalling £120k to provide additional support services for victims, families and those impacted by Child Sexual Exploitation as announced by the Leader of the Council.

Discussion followed on the issue of the virements / realignments: -

Q: - Councillor Currie asked whether the virements were one-offs or recurring?

A: - The pressures were recurring so the realignment has been agreed permanently.

Q: - Councillor Whelbourn asked whether there was a virement policy? Virements did not happen regularly as some budget allocations had remained unchanged for years.

A: - Yes. Rotherham had a virement policy as part of the organisation's Financial Regulations that were annually reviewed by the Audit Team.

Q: - Councillor Ellis referred to the different references to Parking Services within the report. The Service had received a virement of funding because of £97k recurrent income pressure which the report attributed to the new Tesco's store. How was this a recurrent income pressure when the new Tesco's was yet to open?

A: - The wording here should be sharper, the reference was related to historic income pressures and further income pressures were forecast for the future when the new Tesco's store opened.

Q: - Councillor Ellis asked whether the free weekend parking that had been approved for the 2014 festive period had been forecasted? This had agreed an extra £10k pressure on an already pressurised budget.

A: - The festive free parking had not been forecast in this budget monitoring report.

Councillor Ellis referred to the wording of the report that was more appropriate to when it was considered by Cabinet regarding the decisions to be made.

A: - The wording of the recommendations were clear that the Self-Regulation Select Commission was being asked to note the decisions made by the Cabinet in relation to the 31st August, 2014 budget monitoring report.

Paul Dempsey, Service Manager for Family Placements and Residential, was welcomed to the meeting. Paul had attended on behalf of Jane Parfremment, Director for Safeguarding, Children and Families' Services, who would be invited to a future meeting of the Self-Regulation Select Commission. Paul had been invited to the meeting to discuss the revenue budget overspends in relation to out-of-authority residential placements (+£2.528 million) and the provision of independent foster carer placements (+£221k) and the ways that the Directorate was working to reduce spend.

Q: - Councillor Currie asked about how the overspend compared to previous years, had the various Invest to Save initiatives made any differences and were any others planned? Was Rotherham looking towards best practice from other local authorities with similar resources and needs but who had better Ofsted ratings?

A: - Rotherham had significantly increased their numbers of in-house foster carers over the past three years. The Local Authority had 185 in-house foster carers in 2014/2015, compared to 165 in 2013/2014. In-house placements were more cost effective at a cost of £266 per week compared to an independent placement cost of £885 per week. The numbers of children placed in independent fostering placements had reduced by 19 placements over the year. These actions were for cost avoidance and the CYPs Directorate and Department would continue to pursue these.

Continuing work would be focused on recruiting more carers for adolescents with challenging and complex needs; the 'Fostering Plus' initiative was investing additional monies in paying higher fees to carers, which would avoid external out of the Borough provision being used.

Rotherham and Sheffield were collaborating on joint provision for children who had been sexually exploited and children at risk of sexual exploitation.

Rotherham had accessed a temporary Fostering Reform Grant of around £900k and used this to increase capacity in the staffing team which had maintained fostering and adoption statistics. Rotherham approved 18 adopters in 2012/2013 and had increased to 31 in 2013/2014. It worked with other local authorities and voluntary sector organisations to identify external adoptive placements at cost of £27k. In 2013/2014 Rotherham bought 27 placements. In 2014/2015 it was forecasting to purchase no more than 20. Through the increased capacity, Rotherham was able to sell more adoptive placements at a cost of £27k per placement.

A review of Residential Services and provision had been undertaken at the Silverwood Residential Home that aimed to return children to Rotherham who were currently placed outside of the Borough at lower cost and improved outcomes.

Q: - Councillor Sharman referred to the bigger picture of all of the Services for vulnerable children and young people. Were the problems highlighted in the Jay Report a consequence of budget failure or a cause of budget failure in the future? Elected Members needed to keep a focus on this in the coming years.

A: - Councillor Currie confirmed that the scrutiny function in Rotherham would consider the implications and recommendations of the Jay Report and how the response would be budgeted for.

A: - The Director for Finance explained that, in the past, Rotherham had invested approximately £8.5 million in its Children's Services. It had originally been in the lower quartile of funding for children's services but was currently placed above the median. However, whether the budget was at the right level would need to be continually examined. It would continue to be managed as a corporate entity.

Q: - Councillor Ellis was pleased that reference to the Jay report had been included. At a Members' Seminar facilitated by the Police that took place yesterday, the Chief Constable had shared detailed victim support analysis that had been discussed with the Home Secretary for the short, medium and long-term. The plan referred to Council, NHS and Police funding being utilised. Who had been involved from the Council and were the victim support cost requirements included in this budget monitoring report?

A: - The Director for Finance had not personally been involved in these discussions but understood that multi-agency conversations had taken place. He did not have access to any further details.

Q: - Councillor Ellis explained that she would be disappointed if not all stakeholders had been involved in these discussions and felt that the monetary response needed to be correct from the outset to ensure it was accurate and addressed needs appropriately.

Q: - Councillor Currie asked about the Multi-Agency Safeguarding Panel, was it working and had it been evaluated?

A: - The Multi-Agency Safeguarding Panel was the legal gateway for Court proceedings to bring children into care. The Director of Safeguarding Children and Families had to ensure that social work teams were being proactive in looking for other solutions, including extended family members to ensure the best outcomes for children and young people and also work cost effectively.

Rotherham's costs were higher than national average. They would be brought down through the use of more in-house provision.

Q: - Councillor Currie asked how care requirements were forecast?

A: - Forecasts were based on the current picture and took local intelligence into consideration.

Q: - Councillor Ellis asked what the average cost of an in-house placement was?

A: - The average cost was £830 per week and represented a mix of fostering and residential provision, the same calculation in Rotherham cost £880 per week.

Q: - Councillor Ellis asked whether the costs of Invest to Save programmes had been recovered yet? Had the original investment been re-paid?

A: - The information was not available at this meeting but would be considered at the meeting of the Self-Regulation Select Commission that the Director for Safeguarding Children and Families attended.

Q: - Councillor Currie asked how the Local Authority ensured that the interventions of other agencies were effective and had they worked? What criteria were they reviewed by?

A: - Assessment on whether needs had been met was considered and also whether children and young people were progressing. Statutory child care reviews for children in care were undertaken by Independent Reviewing Officers. Where commissioned services were in place a dispute process existed. The White Rose Consortium had a quality assurance framework and Rotherham did not place children with providers unless they were rated as Good or Outstanding.

Delivering the Budget Savings 2014/2015: -

The Financial Services Director gave a short presentation on the progress towards achieving budget savings in the 2014/2015 budget. He reported on an exceptions basis where it was believed that savings would not be met against the requirement to make budget savings proposals of £14.419million.

- Savings that been rated as being 'not delivered/not forecast to be delivered' totalled £1.154million. This risk had been red-rated;
- The savings proposed by the Special Educational Needs and Disability Service would not achieve £200k of the suggested £600k saving due in part of increasing numbers of complex cases across the Borough, pressures due to individualised budgets and increasing placement costs. The Head of Service was due to present a report to the Rotherham Schools' Forum at the end of November on this;
- Legal and Democratic Services were projecting to deliver 50% of the savings put forward from a range of smaller scale proposals;
- Adult social care were projecting to not make a proposed saving of £500k across two proposals.

Q: - Councillor Currie asked about ongoing savings being made by Corporate Commissioning. Actual savings were not matching targets.

A: - Corporate Commissioning was on target to deliver current savings, historic savings were not projected to meet target.

Q: - Councillor Ellis asked for an update to be provided to the next meeting of the Self-Regulation Select Commission regarding the failure to make £500k of savings in Adult Services and the reasons why this was the case.

A: - The Service Director would be invited to the next meeting of the Self-Regulation Select Commission.

Some savings had been delivered or delivered by alternative means and had been rated as green: -

- CYPS Business support;
- Waste disposal contracts;
- Estates.

Q: - Councillor Ellis asked for further information about how the Services had been able to meet the savings by alternative means and whether scrutiny would be required to measure the impact the savings had made and the impact on the areas that had not made their proposed savings to explore the reasons why this was the case.

A: - Service specialists and Service Accountants had checked the viability of proposed savings. Where they had not been met/could not be met alternative means had been sought.

Q: - Councillor Watson asked for future meetings to focus on car parking costs as an additional £10k of budget pressures had been taken on. A meeting of the Self-Regulation Select Commission in the early New Year, 2015, should call the Cabinet Member for Business Growth and Regeneration to give evidence about whether the policy had increased footfall and revenue for the town centre businesses.

Q: - Councillor Watson referred to the agency, consultation and non-contractual overtime section of the report. How were these expected to be addressed?

A: - It was expected that the budget would outturn at a lower level than the previous year. Spend under this budget was covered by the moratorium on non-essential spend.

Q: - Councillor Ellis noted that EDS and CYPS agency costs had been used for the provision of staff cover. Was sickness absence increasing? At a time of a reducing resources and workforce pressure on staff members was increasing and needed to remain on a watching brief.

A: - The Director of Human Resources would be asked to confirm the position on this and information would be brought back to the Self-Regulation Select Commission if sickness absence rates were rising.

Q: - Councillor Ellis asked about the process to initiate the non-essential spend moratorium, was it correct that it was done through the Chief Executive's Delegated Powers?

A: - The power to do this existed in the budget strategy and the timing of the Moratorium coincided with the August recess period. The matter was considered to be urgent enough to utilise Delegated Powers.

Q: - Councillor Ellis raised that non-essential spend meant different things in different areas/Directorates. Where did the policy to offer free car parking at weekends over the festive period stand in terms of non-essential spend? It contributed to a loss of income that created pressures elsewhere.

A: - The Director of Finance confirmed that budget holders were receiving daily reports on all spending within the budgets that they managed and were able to challenge and scrutinise all items to ensure that all spending was essential. The Purchase 2 Pay Service was also ensuring that all orders were essential and represented value for money.

Received: - (1) That the current forecast outturn and the continuing financial challenge for the Council to deliver a balanced revenue budget for 2014/2015 be noted.

(2) That the Self-Regulation Select Commission note the decision of the Cabinet to approve budget virements as set out at section 7.4 of the submitted report.

(3) That the Self-Regulation Select Commission note the decision of the Cabinet to utilise the revenue savings from the day of industrial action to reducing staffing cost pressures within the overall Council over-spend.

(4) That a copy of the Virement Policy be forwarded to all members of the Self-Regulation Select Commission.

(5) That the budget implications from the Jay Report be considered by the Self-Regulation Select Commission at an early opportunity.

(6) That a copy of the Head of Special Educational Needs and Disability Service's report be circulated to the Self-Regulation Select Commission when it was published.

(7) That the Director for Health and Wellbeing attend the next meeting of the Self-Regulation Select Commission to discuss the likely non-realisation of two budget saving proposals totalling £500k.

(8) That future scrutiny of the budget monitoring process include reference to the income pressures of the car parking service and the impact that sickness absence may have on the use of agency and non-contractual overtime spend.

34. CAPITAL PROGRAMME MONITORING 2014/15 AND CAPITAL PROGRAMME BUDGET 2015/16 TO 2016/17

The Director for Finance presented a report that provided the Capital Programme Budget Monitoring report for 2014/2015 and an outline for the Capital Programme Budgets for 2015/2016 and 2016/2017.

The forecast outturn for the 2014/2015 budget included the 2014/2015 revised estimate, the variance from the last report, the 2015/2016 estimate, the 2015/2016 variance from the last report, the 2016/2017 estimate and the 2016/2017 variance from the last report for the Council's four Directorates.

The submitted report provided commentary on how each Directorate was using their capital funding during 2014/2015. The Director of Finance explained the role of the officer group in scrutinising and accepting or rejecting new applications for capital funding.

The Director of Finance reported that there had been slippage on the new teaching block at Wickersley School and Sports College and the new Central Primary School and areas of the Environment and Development Services.

Discussion ensued on the £600k of grant funding that had been received in 2014/2015 in order to meet the Government's requirement to provide universal Free School Meals to all infant-aged school children. The funding was being spent on capital works to kitchens including extensions and new kitchen equipment. The Self-Regulation Select Commission requested more information about this process and whether it was due to complete on-time and on budget.

Q: - Councillor Ellis asked for more information about the occupancy rate of the Borough's business investment units.

A: - These were managed by the Council's Asset Management Service who worked to attract international interest and investment in the Borough. If occupancy rates were starting to drop, or if there were good news stories it would be reflected in income monitoring reports. The Service needed to ensure that it had available capacity to respond to new enquiries.

Resolved: - (1) That the report be received and its content noted.

(2) That the Council's decision to approve the updated 2014/2015 to 2016/2017 capital programme budget be noted.

(3) That further information be requested in relation to the capital programme around the requirement to deliver universal Free School Meals for infant-aged school children.

35. DATE AND TIME OF NEXT MEETING

Resolved: - That the next meeting of the Self-Regulation Select Commission take place on Thursday 27th November, 2014, to start at 3.30 p.m. in the Rotherham Town Hall.

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1	Meeting:	SELF REGULATION SELECT COMMISSION
2	Date:	8th January, 2015
3	Title:	Revenue Budget Monitoring for the period ending 31st October 2014
4	Directorate:	Resources on behalf of all Directorates

5 Summary

This report was considered by Cabinet on the 17th December, 2014, and provides details of progress on the delivery of the Revenue Budget for 2014/15 based on performance for the first 7 months of this financial year. It is currently forecast that the Council will overspend against its Budget by £2.976m (+1.4%); an improvement of £129k since the last report to Cabinet in October (August monitoring report).

The current forecast outturn excludes the costs of implementing recommendations from the Jay report and the Ofsted Inspection, and the costs which will be borne by the Council in respect of the Corporate Governance Inspection.

The main reasons for the forecast overspend are:

- The continuing service demand and cost pressures for safeguarding vulnerable children across the Borough;
- Cost pressures arising from some schools converting to academies;
- Continuing Health Care income pressures and demand pressures for Direct Payments within Older People and Physical and Sensory Disability clients.

Following Cabinet's approval to provide a window of opportunity for Voluntary Early Retirement/ Voluntary Severance (VER/VS) permission has been given for 46 employees to leave the Council. Savings arising from these staff releases are reflected in the forecast outturn position.

Members are asked to note that the moratorium on non-essential spend implemented on 2nd September will continue until the end of March. This will assist with reducing the forecast overspend.

Continued close management of spend remains essential if the Council is to deliver a balanced outturn and preserve its successful track record in managing both its in year financial performance and its overall financial resilience.

Recommendations

That Self Regulation is asked to:-

- **Note the request to Cabinet to note the current forecast outturn and the continuing financial challenge for the Council to deliver a balanced revenue budget for 2014/15.**
- **Consider the report and determine if there are any areas they wish to scrutinise further**

7.1 Proposals and Details

This report presents details of spending against budget by Directorate covering the first 7 months of the 2014/15 financial year – April 2014 to October 2014 – and forecast costs and income to 31st March 2015.

7.2 The Overall Position

Directorate/Service	Annual Budget 2014/15 £'000	Projected Outturn 2014/15 £'000	Forecast Variance after Actions (over+)/under(-) spend) £'000	%
Children & Young People Services	43,288	47,034	+3,746	+8.7
Academy Conversions (Deficit)	0	283	+283	+100.0
Neighbourhoods & Adult Services	74,389	74,325	-64	-0.1
Environment and Development Services	48,881	48,581	-300	-0.6
Resources	11,366	11,230	-136	-1.2
Central Services	30,961	30,408	-553	-1.8
TOTAL	208,885	211,861	+2,976	+1.4
Housing Revenue Account (HRA)	82,509	81,025	-1,484	-1.8

Appendix 1 to this report provides a detailed explanation of the key areas of forecast over / underspend by Directorate. The summarised position for each Directorate is described below.

Children & Young People's Directorate (+£4.029m forecast overspend)

The forecast overspend for Children's Services is largely due to pressures within the Children & Families Safeguarding Service. A further pressure (+£283k) relates to schools whose finances are in deficit when they convert to be a sponsored academy leaving the Council responsible for funding their accrued deficit. (Rawmarsh School: A Sports College and Swinton Brookfield Primary School).

A review of Abbey Special School is currently taking place. This school is currently forecasting a deficit outturn for this financial year (2014/15) which may be up to £100k. Depending on the outcome of this review there may be financial implications for the Council.

The number of looked after children requiring placements at the end of October 2014 was 402, an increase of 7 since the start of the financial year.

Pressures on budgets for provision of Out of Authority Residential placements (+£2.913m) and the provision of independent Foster Care placements (+£365k) are the main service pressures. The cost of placements has increased as children are

presenting with more complex needs. The service is looking at how they can find suitable, alternative, increased value for money placements to meet the needs of these young people.

Children's Social Care services remain under pressure despite the services' proactive approach to drive down costs including:

- Continued operation and challenge by the Multi-Agency Support Panel
- Successful work undertaken by the Commissioning Team which has resulted in the commissioning and re-commissioning of service provider contracts with significant cost reductions/cost avoidance of £604k to date in 2014/15.

Children's services continue to look for ways to reduce spend.

Environment & Development Services including Internal Audit, Asset Management, Communications & Marketing and Policy & Planning (-£300k forecast underspend)

The Directorate is currently forecasting an underspend of -£300k comprising pressures in Streetpride (+£130k), and Communications (+£24k), with Asset Management showing an improved position (-£168k). The Business Unit is now reporting a forecast underspend position (-£73k) as is Regeneration, Planning and Culture (-£213k). All budgets are continually being reviewed to ensure spend is of an essential nature.

The forecast underspend assumes that the Winter Pressures budget is sufficient to contain costs incurred over the Winter months (2014/15). It should however be noted this budget overspent by +£139k in the mild Winter of 2013/14.

Neighbourhoods and Adult Services including Public Health, Commissioning, Procurement, Performance & Quality and Cohesion (-£0.064m forecast underspend)

Overall the Directorate (including ring-fenced Public Health funded services) is forecasting an underspend of -£64k. Within this, Adult Services are forecasting an overspend (+£737k) and Neighbourhood Services a forecast underspend of -£684k. Commissioning, Procurement, Performance & Quality and Cohesion services are forecasting a collective underspend of (-£117k). Key Directorate pressures include budget savings from previous years not being fully achieved in respect of additional continuing health care (CHC) funding, delays on achieving budgeted savings within Learning Disability services, plus recurrent pressures on demand for Direct Payments within Older People and Physical and Sensory Disability clients.

Public Health Services (ring-fenced funding) are currently forecasting a balanced Outturn.

The forecast position for Neighbourhoods and Adult Services is made up of a number of forecast under and overspends, detailed in Appendix 1.

Resources Directorate including ICT (-£0.136m forecast underspend)

Overall the Directorate is forecasting an underspend of -£136k. This is predominantly in respect of reduced costs and additional income generation with HR and Payroll services.

Central Services (-£0.553m forecast underspend)

There are currently two key pressures within Central Services. Rotherham's share of the pension deficit in respect of winding down Local Government Yorkshire & Humber (LGYH) (£80k) and Rotherham's share of the costs for the Economic Regeneration Team within the Sheffield City Region Combined Authority (£188k).

The 2014/15 costs directly associated with the Professor Jay investigation into Child Sexual Exploitation (CSE) amount to £102k. (Costs in 2013/14 were £37k).

Forecast savings within Central Services offset the above pressures and contribute to the wider directorate pressures:

- £480k tax saving through efficient tax management; and
- £443k representing the reduced provision required to settle the South Yorkshire Trading Standards liability.

The cost of the Corporate Governance inspection, the cost of responding to Ofsted recommendations and the costs associated with responding to recommendations following the publication of the Jay Report are being collated and will be included in a future revenue monitoring report. The Council will initially be looking to contain these costs and any other potential liabilities within the Council's overall Budget. If this proves not to be possible, then the use of the Council's Working Balance will be required. The Council has written to Government requesting an indication of the likely costs of the CGI which will have to be borne by the Council.

7.3 Housing Revenue Account (HRA) (Forecast underspend -£1.484m)

The Housing Revenue Account is forecasting a £1.484m reduction in the transfer from reserves compared with the agreed budget. The HRA had budgeted to use £1.440m from reserves but current forecasts a contribution to reserves of £44k.

7.4 Agency, Consultancy and Non-Contractual Overtime Costs

Details of spend on Agency, Consultancy and Non-Contractual overtime costs are shown below. These costs are included within each Directorate's forecast outturn position.

Agency

Directorate	Outturn 2013/14	Cumulative to Oct. 2013	Cumulative to Oct. 2014
	£'000	£'000	£'000
Children & Young People's Services (CYPS)	830	473	591
Neighbourhoods & Adult Services (NAS)	345	257	177
Environment & Development Services (EDS)	558	449	496
Resources	134	79	73
TOTAL	1,867	1,258	1,337

Main reasons for spend:

CYPS: Cover for post of Interim Director of Safeguarding post; cover for vacant and long term sick Social Work posts and employment of an interim Service Manager to oversee improvement in the LAC service.

NAS: Residential Care and Assessment & Care Management Social work Teams to maintain statutory levels of service, including cover for vacancies and sickness.

EDS: Cover for holidays and sickness absence mainly within Streetpride services.

Resources: Staff cover in Legal Services

Consultancy

Directorate	Outturn 2013/14	Cumulative to Oct. 2013	Cumulative to Oct. 2014
	£'000	£'000	£'000
Children & Young People's Services	274	104	139
Neighbourhoods & Adult Services	71	23	0
Environment & Development Services	173	121	52
Resources	23	10	6
TOTAL	541	258	197

Main reasons for spend:

CYPS: School Effectiveness Service which is predominantly grant funded and Special Education Needs & Disabilities (SEND) reform which is specific grant funded.

EDS: Local Development Plan, Waste PFI, Transportation and Countryside Operations.

Resources: Specialist ICT Support.

Non-Contractual Overtime

Directorate	Outturn 2013/14	Cumulative to Oct. 2013	Cumulative to Oct. 2014
	£'000	£'000	£'000
Children & Young People's Services	121	70	52
Neighbourhoods & Adult Services	377	240	122
Environment & Development Services	501	295	251
Resources	149	64	98
TOTAL	1,148	669	523

Main reasons for spend:

CYPS: Provision of staff cover, mainly within residential units.

NAS: Maintaining statutory staffing levels in residential, home care, day care services and social work posts and represents cover for sickness and delays in recruiting to vacant posts.

EDS: Maintaining Streetpride services, facilities services, caretaking and cleaning.

Resources: ICT – Business Continuity (£18k), Revenues and Benefits – Income collection (£69k), Town Hall – Member services/support (£9k) and Legal Services (£2k).

7.5 Collection Fund

At this stage of the financial year it is forecast that the budgeted level of Council Tax and Business Rates will both be achieved.

8. Finance

The financial issues are discussed in section 7 above.

Management actions need to be identified and implemented across all Directorates to bring projected spend in line with Budget limits by the end of March 2015.

9 Risks and Uncertainties

At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's Budget is paramount. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority if the Council is to deliver both its annual and medium term financial plans while sustaining its overall financial resilience.

Costs associated with implementing recommendations from the Jay Report, the recent Ofsted Inspection and the ongoing Corporate Governance Inspection are being collated and are likely to be a significant unbudgeted cost. As more detail becomes available this will be included in future budget monitoring reports to Cabinet.

The number and likely cost of CSE claims is a further, likely significant, unquantified risk at present.

Although both Council Tax and Business Rates collection levels are currently on target there remains a risk that this could change during the remaining months of the year.

The current forecast assumes that costs associated with the Winter Pressures will be contained within budget. In 2013/14 these costs exceeded budget by £139k.

Should there be any financial consequence from the review of Abbey Special School, this is currently not quantified and included within the forecast outturn position.

10. Policy and Performance Agenda Implications

The delivery of the Council's Revenue Budget and Medium Term Financial Plan within the parameters agreed at the start of the current financial year is essential if the objectives of the Council's Policy agenda are to be achieved. Financial performance is a key element within the assessment of the Council's overall performance framework.

11. Background Papers and Consultation

- Revenue Budget and Council Tax for 2014/15 Report to Council 5th March 2014.
- Strategic Directors and Service Directors of the Council

Contact Name: Stuart Booth, Director of Financial Services, ext. 22034
Stuart.Booth@Rotherham.gov.uk

Key reasons for forecast over / underspends**Children & Young People's Services (+£4.029m forecast overspend)**

The key factors contributing to the forecast overspend are:

Academy Conversions - Deficits (+£283k)

The forecast over spend is due to provisions for the forecast deficit positions on the following schools when they convert to become a sponsored academy in 2014/15:

Rawmarsh School: a Sports College - £+£236k (This is in addition to the £300k provided for in the 2013/14 accounts); and
Swinton Brookfield - £47k (This is in addition to the £39k provided for in the 2013/14 accounts).

Directorate Wide Services (-£32k)

This forecast underspend consists of an over spend on the Central Budget due to the legal costs of academy conversions (+£35k) & supplies (+£3k) offset by a forecast underspend on the pensions budget (-£70k) due to reductions in payments.

Schools and Lifelong Learning Service Wide (+£2k)

The forecast overspend is due to costs for the Yorkshire and Humber Education Challenge (+£3k) slightly offset by forecast underspends on car allowances and room hire (-£1k).

School Effectiveness (-£56k)

This forecast underspend is mainly due 4 advisors leaving the School Effectiveness Service and there being a slight delay in recruiting replacements (-£57k). The remainder of the projection (+£1k) is due to Rockingham PDC forecasting income generation slightly below their £35k income target.

Special Education Provision (+£42k)

The forecast under recovery of income in the Education Welfare Team is due to a change in legislation which no longer allows them to charge Academies for such services (+£24k) and additional costs of SEN Complex Needs placements (+£93k). This is partially offset by forecast underspends on staff costs within the Children in Public Care Team (-£19k), additional income generation in the SEN Assessment/Admissions Team (-£17k) & on staffing due to vacancies in the Education Psychology Team (-£18k), Parent Partnership Team (-£11k) and Learning Support & Autism Team (-£10k).

Early Years Services (-£70k)

The projected underspend in this area is due to delays in recruitment & savings due to staff not being in the pension scheme within the Early Years Team (-£20k) & Children Centres (-£50k)

Integrated Youth Support (-£80k)

The forecast underspend is as a result of a reduction in the non-pay costs within the service (-£169k) due to the spend moratorium and reduced staff costs offset by a forecast overspend in Outdoor education due to under-recovery of income (+£89k).

Safeguarding, Children and Families Service Wide (-£56k)

This forecast underspend is mainly on legal fees (-£88k) due to the courts ceasing and refunding final hearing fees. This is partially reduced by costs for the previous interim Director of Safeguarding post (+£29K) and Business Support teams mainly due to 2 new posts and additional agency costs (+£3k).

Child Protection Teams (+£80k)

This forecast overspend is due to the withdrawal of the DSG funding by the Schools Forum (+£49k), reduced staff costs through vacancies (-£7k), additional agency staff costs + (£16k) and 2 new Independent Reviewing Officer Posts (+£23k) in the Safeguarding Unit slightly offset by a small projected underspend on the Children's Rights Team (-£1k).

Children in Need Social Work Teams (+£536k)

This forecast overspend is due to Agency staff costs & additional staff appointments over establishment (+£532k), partially offset by staff cost savings through vacancies (-£12k) & a forecast underspend on supplies (-£9k) within the Children in Need teams. This is to better maintain business continuity rather than having to recruit expensive agency staff to cover periods between staff leaving and new staff commencing employment in post. This area also includes a projected overspend on the Out of Hours service (+£36k), partially offset by a projected underspend on the Family Assessment Team (-£11k) due to staff not being in the pension scheme & delays to recruitment.

Looked After Children - LAC (+£3,398k)

The service is forecasting an overspend mainly due to out of authority residential placements (+£2,913k) and independent fostering placements (+£365k).

The Adoption Reform Grant that was first received in 2013/14 was reduced by £746k in 2014/15. This grant significantly mitigated LAC budget pressures in 2013/14 on a temporary basis.

The service overspent in 2013/14 (+£1.617m) and due to the increased number and forecast length and complexity of placements, the forecast overspend is currently expected to increase to the extent above (+£2.913m and £0.365m respectively).

To help mitigate these pressures the service, alongside the Commissioning team are conducting a review of placements.

Further details of placements are below:

Placement Type	2011/12		2012/13		2013/14		2014/15 as at 31st October		Actual Number of placements as at 31st October
	Average No. of placements	Average Cost of Placement £ per week	Average No. of placements	Average Cost of Placement £ per week	Average No. of placements	Average Cost of Placement £ per week	Average No. of placements	Average Cost of Placement £ per week	
Out of Authority Residential	18	3,022	21.1	3,206	25	3,245	32.4	3,318	36
<i>R1 Accommodation only</i>	U/A	U/A	U/A	U/A	U/A	U/A	11.8	2,812	14
<i>R2 Accommodation & therapy</i>	U/A	U/A	U/A	U/A	U/A	U/A	9.3	2,845	9
<i>R3 Accommodation, therapy & education</i>	U/A	U/A	U/A	U/A	U/A	U/A	9.0	3,801	12
<i>R4 Parent & Baby</i>	U/A	U/A	U/A	U/A	U/A	U/A	-	0	0
<i>Secure</i>	U/A	U/A	U/A	U/A	U/A	U/A	1.9	3,753	1
Remand	U/A	U/A	U/A	U/A	1.6	3,154	0.7	815	0
Independent Fostering Agencies	125	887	121	874	107	879	108.8	864	109
<i>Standard</i>	U/A	U/A	74.8	745	66.1	759	60.8	756	61
<i>Complex</i>	U/A	U/A	27.2	938	24	1,105	35.8	909	35
<i>Specialist</i>	U/A	U/A	19	1,287	16.9	998	12.3	1,265	13
In-house Fostering	158.8	230	162	246	165.2	261	174.2	267	170
<i>Note: U/A - This detailed breakdown was unavailable in past years</i>									

Out of Authority Residential

- The number of children in residential out of authority placements as at end of October 2014 is 36 (an increase of 5 since 31 March 2014 & an increase of 11 since 31 March 2013).
- The average number of placements has increased from 25 in 2013/14 to 32.4 in 2014/15, so far, which is an increase of 7.4 (29.8%). At an average cost of

£3,318 per week this 7.4 increase equates to a cost of £1.283m per annum.

- Due to the increasing complexity of children's needs that are going into residential out of authority placements & despite successful negotiations by the Commissioning team to minimise the cost of these placements, the average cost per week of these placements has increased from £3,022 in 2011/12 to £3,318 currently – an increase of 9.8%. The average number of placements in the same period has risen by 14.4 (80%) from 18 to 32.4.
- From 1 April 2013 children's remand placements were fully funded by the Local Authority & RMBC received a national grant of £78k in 2013/14 to cover these additional costs. The allocation for 2014/15 has been reduced to £53k. The cost of these placements in 2014/15 so far is £33k. At the end of October 2014 there were no remand placements (a reduction of 2 since 31 March 2014).

Independent Fostering Agencies

- The number of children in Independent foster Care as at end October 2014 is 109 (an increase of 7 since the end of March 2014 & a reduction of 9 since 31st March 2013).
- The average cost of a placement has reduced by £23 or 2.6% since 2011/12.
- The average number of placements during the same period has decreased by 16.2 (13%).

In-house Fostering

- The number of children in in-house fostering placements as at end of October 2014 is 170 (an increase of 3 since the end of March 2014).
- The cost of a placement has risen by an average of £37 or 16% since 2011/12.
- The average number of placements during the same period has increased by 15.4 (9.7%)

The number of looked after children was 402 at end of October, an increase of 2 since the end of March 2014.

Fostering Services are forecasting an overspend on Fostering allowances (+£121k) & Residence Orders (+£62k) due to having more children placed than planned during the first part of the year (see table below) & also due to some placements costing more than the budgeted average. This is partially offset by forecast staffing savings in the Fostering team (-£45k) & forecast underspends on Fostering equipment (-£20k) & Family Together packages (-£16k).

Adoption Services are forecasting an overspend on Special Guardianship Orders (+£11k). This is offset with forecast underspends on allowances (-£14k) due a reduction in the number of carers, Inter Agency costs (-£123k) due to more adoptions being done in house & small underspends on the LAAC and Adoption Teams (-£1k).

The table below shows the current placements numbers compared to the plan used when budget setting for 2014/15:

Placement Type	Actual No. of placements as at 31st October 2014	Planned No. by this stage of the year	No. of placements above plan	Average Cost per week used for budget setting £	Total Approximate Additional Cost for the next 5 months £'000	Cost Avoided for the next months - assuming an IFA placement would have been used (£854 Per week) £'000
In-House Fostering	170	174	-4	264	0	0
Adoption - with means tested allowance	85	93	-8	167	0	0
Residence Orders	142	131	11	129	37	245
Special Guardianship	85	89	-4	118	0	0
					37	245

Although these additional placements are contributing to the service overspend, the table shows that if these placements had instead resulted in Independent Fostering placements, this would have cost RMBC an additional £245k for a 5 month period.

Other forecast overspends within this service are (+£160k) in the LAC Service due to Agency staff costs (+£92k) & posts over establishment (+£40k) & a complex Care package (+£21k), secure transport (+£20k) offset by a forecast underspend on Section 17 and 23 costs (-£13k).

Also, within in-house Residential homes there is a forecast overspend (+£31k) due to Regulation 33 requiring us to use an independent reviewer of our in house provision (+£19k) & forecast overspends on agency, long term sickness cover and a termination payment (+£30k), partially offset by under spends on staffing at St Edmunds and the cover budget at Woodview (-£18k).

Furthermore there is a Leaving Care overspend (£3k) and LAC Transport is forecasting an underspend of (-£50K).

Disability Services (-£18k)

This service is forecasting an underspend due to delays in recruitment for 2 posts within the outreach team (-£26k) partially reduced by a forecast overspend due to increased use of agency staff at Cherry Tree & Liberty residential homes (+£8k).

Environment & Development Services (-£300k forecast underspend)

The above forecast overspend assumes that the Winter Pressures budget is sufficient to contain costs incurred over the Winter period – in the mild Winter last year the costs exceeded budget by +£139k.

Asset Management, Audit and Insurance -£168k

There are some small pressures across the Asset Management service which total **+£94k**: Health and Safety (+£47k) for costs incurred relating to the public demonstrations, and an under recovery of health and safety training income (less courses run). All Saints toilets has a pressure (+£15k) which is due to an overspend on pay caused by additional cover requirements due to the public demonstrations and a small under recovery of income against the budget. Riverside café and hospitality has a pressure (+£10k), also due to an overspend on pay budgets caused by a requirement to cover staff sickness, and an under recovery of income. Further pressures are being reported, Internal Audit (+£7k) pressure which is staffing related, and Commercial Properties (+£15k) due to reduced rental income.

These pressures are now being offset by forecast underspends which total **-£262k**. Facilities Management for all council buildings have reported a forecast saving of -£138k. Further savings are being reported from the Corporate Environment Team (-£34k) relating to lower than budgeted carbon reduction charges, and (-£16k) from the Capital Team, and (-£23k) Caretaking and (-£51k) due to lower than budgeted costs on pay and non-pay due to vacant posts and the impact of the spend moratorium.

Business Unit -£73k

The Service has declared a forecast underspend on the training budget, and this will be further scrutinised to consider if any further saving can be offered.

Communications +£24k

This pressure is due to some additional staffing costs and some additional costs on marketing events, and work is ongoing to mitigate this pressure.

Regeneration, Planning, Customer and Cultural Services -£213k

A number of smaller pressures remain within the service area, RIDO is funding a replacement IT package, and has some additional staffing costs causing a pressure (+£26k). The Contact Centre (+£27k) pressure is caused by additional staffing costs to ensure delivery of service. Contact centre management is now showing a pressure of (+£49k) due to a review of salaries that were previously capitalised that has determined that these costs no longer qualify for this treatment. The cashiers pressure (+£43k) is unchanged and is mainly due to increased charges for use of paypoint and post office collection service.

Business Centres are reporting an underspend (-£56k) due to continuing increased occupancy levels exceeding budgeted levels. Cultural Services are reporting forecast underspends; Theatres (-£4k), Boston Castle and Museums (-£10k), and Libraries and Customer Services (including Riverside and Maltby) (-£233k) which is mainly due to the non-filling of vacant posts prior to the now implemented staffing restructure, and a reduction on expected spend from the materials fund, in line with the moratorium. Building Control are reporting a -£5k forecast underspend due to an increase in applications. Planning Services have identified a small underspend due to the delaying of consultancy spend in this year (-£15k). A review of the Markets budget has identified an improved position of (-£32k) due to both reduced expenditure and additional income generation There are also several small savings amounting to (-£3k).

Streetpride +£130k

At this stage in the year the Service are reporting a pressure in **Network Management Services (+£208k)**, in the main this is due to an under recovery of income from Parking Services (+£290k) which is due to a reduction in staff parking permits income, plus, based on previous years data, the income generated is forecast to be lower than the current income budget. This is being partially mitigated by some savings in other areas (-£82k), comprising Street Lighting energy savings and staff vacancies in Network Management, Public Rights of Way and Street Lighting.

Leisure and Green Spaces are now reporting an improved position (**-£21k**). This figure comprises a forecast under-recovery of income from Allotments (+£23k), and (+£9k) from the golf course. Country Parks have a pressure (+£13k) comprising agency cover costs partially mitigated by increased income and a reduction in casual employees. Unbudgeted costs of (+£3k) have been incurred in respect of closed churchyards. The forecast position for Urban Parks (-£24k) has worsened due to increased business rates, higher electricity charges and a

loss of pitch income. Conversely Landscape Design and general management's position has improved (-£45k) due to additional income generation, a staff vacancy and the impact of a member of staff taking flexible retirement.

Community Services are reporting a pressure (**+£52k**) due to a forecast overspend on highways cleansing (+£53k), additional staffing costs (+£15k) and an (+£11k) pressure due to the need to renegotiate a new kennel contract for stray dogs as our previous provider terminated the existing contract. This is being partially offset by a forecast underspend (-£10k) on Pest Control which is due to increased income generation and an improved position on Grounds Maintenance (-£17k), due to a refund from SGM (the equipment provider) due to their late supply of plant combined with adjusted delivery team forecasts to take into account Christmas shut down.

Waste Services are reporting (**-£46k**) with Waste Collection forecasting an underspend (-£144k) mainly due to a WEEE rebate, effects of industrial action, increased income on bulky items and commercial waste. However, Waste Disposal has a pressure (+£130k) due to expected outlet not coming on line by the timetabled date, and needing to take more waste into Sheffield Energy Recovery Facility. There is also a small saving forecast on Waste PFI due to reduced professional advice required on the contract (-£32k).

A forecast underspend has been identified within the **Corporate Transport Unit (CTU) (-£73k)**, comprising a (-£43k) additional income generation which is substantially due to the street lighting capital investment currently taking place, (-£2k) depot savings on utilities and from the impact of the moratorium, (-£26k) forecast underspend on the CTU due to the spending moratorium and additional of driver training income. There is also a (-£2k) forecast underspend on Home to School Transport based on current pupil intake forecasts. The **Corporate Account** is now reporting a forecast underspend (**-£15k**) largely due to the moratorium on non-essential spend and a forecast over recovery of Highway Delivery income.

Transportation are reporting a pressure (**+£25k**) comprising (+£35k) due to maintenance costs incurred because the procurement of replacement equipment by the police has been delayed, (+£20k) due to delays on the implementation of the fibre communications invest to save proposal and (+£20k) due to more expensive terms under the Virgin Media contract. These pressures are partially mitigated by a forecast (-£38k) over-recovery of Highway Design income due to the increased volume of works being commissioned and (-£12k) savings on the Management account from a vacant post.

Neighbourhoods & Adult Services (-£0.064m forecast underspend)

Adult Services are currently forecasting an overspend of **+£0.737m**. The key underlying budget pressures include:

Adults General (-£134k)

Higher than anticipated staff turnover within Contract and Reviewing Officers is resulting in a forecast underspend of -£47k plus impact of moratorium on training budget (-£87k).

Older People (+£181k)

Forecast increase in Direct Payments (+63 clients) over budget (+£391k) and forecast overspend on independent sector residential and nursing care (+£631k) due to recurrent pressure on meeting savings target from previous years in respect of additional continuing health care income, this is after additional income from property charges being received. These forecast pressures are being partially reduced by a number of forecast underspends including: savings on maintenance contracts and energy costs in the Rothercare service (-

£111k); higher than anticipated staff turnover within Assessment & Care Management and community support; additional non-recurrent income from Health (-£400k); an overall underspend on Enabling, home care and carers support (-£110k); and additional Winter Pressures funding from health (-£220k).

Learning Disabilities (+£645k)

There is a forecast overspend on Day Care (+£164k) due to specialist provision required for 7 unfunded transitional placements from Children's Services (demographic pressure) including a recurrent budget pressure on the provision of transport. There is also now a forecast pressure due to the delay in the reconfiguration of residential care to supported living by RDASH (+£365k). Further forecast overspends due to a delay in meeting an agreed budget saving for employment and leisure services (+£200k) and additional costs due to staff cover within In-House Residential care (+£99k). These forecast overspends are partially mitigated by clients moving to alternative provision from residential care (-£72k) and an overall forecast underspend on Supported Living schemes due to receipt of additional funding from health (-£111k).

Mental Health (-£223k)

There is a projected underspend on the residential care budget due to 3 clients leaving care greater than budget since April (-£109k) plus additional forecast underspends within mental health day care, community support and direct payments (-£38k) including additional public health funding (-£100k) for substance misuse. These forecast savings are reduced by a +£24k forecast overspend due to lower than expected staff turnover and extra costs for night cover.

Physical & Sensory Disabilities (+£314k)

Recurrent cost pressure on Direct Payments (+£471k / 33 clients) partially reduced by forecast underspends within residential and domiciliary care (-£139k) due to reduced demand, and forecast savings within the advocacy contracts (-£18k).

Safeguarding (+£26k)

Significant increase in demand for assessments under the Deprivation of Liberty Safeguards (DoLS) is forecasting an overspend of +£200k, this is being significantly mitigated by forecast underspends due to higher than anticipated staff turnover plus additional income from health (-£174k).

Supporting People (-£74k)

Efficiency savings (reduced placement activity) on subsidy contracts have already been identified against budget (-£74k).

Neighbourhoods General Fund (-£684k)

The projected year end outturn position for Neighbourhoods shows a forecast under spend of (-£684k).

The main reasons for the forecast underspend is an anticipated (-£562k) saving on the Furnished Homes service. This is a result of continued increase in demand for the service (an additional 135 since April, a total of 3167 tenants at the end of September), cost effective procurement of furniture including refurbishment and disposal plus higher than anticipated staff turnover. In addition higher than expected staff turnover within Trading Standards (-£45k), Community Protection (-£40k) and Management and Administration (-£10k), and lower than anticipated occupancy levels within dispersed units (-£27k).

Commissioning, Policy & Performance and Procurement Services (-£117k)

Services are forecasting an overall underspend due to higher than anticipated staff turnover within Commissioning and Performance and Quality teams.

Public Health (Forecast Balanced outturn)

This service is funded by a ring fenced specific grant from the Department of Health. For Rotherham this is £14.176m for 2014/15. The service is currently forecasting a balanced outturn.

Housing Revenue Account (HRA)

The overall forecast as at end October 2014 is that the HRA will outturn with a contribution to working balance (reserves) of £44k; an overall reduction of -£1.484m from the original budget.

Currently forecasts show a slight underspend on Supervision and Management due to staff vacancies, underspend on Repairs and Maintenance plus additional income from dwelling rents due to fewer than anticipated Right to Buy sale at this stage.

Resources Directorate (-136k forecast underspend)

Legal Services – A forecast overspend of +£45k due to staff cost pressures and delays in achieving budget savings.

Human Resources & Payroll service is forecasting an underspend of -£171k largely in respect of additional income generation and reduced staff costs.

Financial Services (including Revenues & Benefits) is currently forecasting a small -£5k underspend on its staffing budget.

Management savings are also forecast across the service amounting to -£5k.

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1	Meeting:	Self Regulation Select Commission
2	Date:	8th January, 2015
3	Title:	Capital Programme Monitoring 2014/15 and Capital Programme Budget 2015/16 to 2016/17
4	Directorate:	Resources

5 Summary

This report was considered by the Cabinet on the 17th December, 2014 and its purpose is to provide details of the current forecast outturn for the 2014/15 programme and enable the Council to review the capital programme for the financial years 2015/16 and 2016/17.

6 Recommendations

Self Regulation is asked to note:-

(1) THE REQUEST TO CABINET TO NOTE THE CONTENTS OF THIS REPORT; AND

(2) THE RECOMMENDATION FOR THE APPROVAL OF THE UPDATED 2014/15 TO 2016/17 CAPITAL PROGRAMME BY FULL COUNCIL. IN PARTICULAR, NOTING THE FOLLOWING MAJOR ADDITIONS TO THE CAPITAL PROGRAMME AND SUPPLEMENTARY APPROVALS TO EXISTING SCHEMES.

- **New Central Primary School, Eldon Road. (Additional cost of £1.050m, Minute F5 Cabinet Member for Children and Education Services, 16th September 2014)**
- **Rawmarsh St. Mary's PRU (Cost of £0.069m. Works approved by the former Strategic Director of Children and Young People's Services, Joyce Thacker, 23rd July 2014)**
- **Thrybergh Country Park Phased Improvements (Cost of £0.136m. Works approved by the Director of Internal Audit and Asset Management, Colin Earl, 5th November 2014 and reported to CSART, 27th November 2014)**
- **Aston, Aughton and Swallownest Phase 2 Drainage Works (Cost of £0.145m. Grant funding allocated by the Environment Agency.)**
- **Bailey House Renovation project (Additional cost of £0.034m approved by CSART, 23rd October 2014. Original project approval, Minute C34 Cabinet, 21st July 2010).**

Where not funded by grant or by the service, the revenue implications from these schemes has been built into the Council's MTFS assumptions.

(3) CONSIDER THE REPORT AND DETERMINE IF THERE ARE ANY AREAS THEY WISH TO SCRUTINISE FURTHER.

7 Proposals and Details

7.1 Background - The Capital Programme 2014/15 to 2016/17

The budget process that led to the original Capital Programme for 2014/15 to 2016/17, ensured that the Council's capital investment plans were aligned with its strategic priorities and vision for Rotherham.

In order to maintain that strategic link, and make best use of the capital resources available to the Council, it is important that this programme is kept under regular review and where necessary revisions are made. This programme was last reviewed in September 2014, and has now been the subject of a further review, the results of which are reflected in the Directorate summary table presented below. A detailed analysis of the programme for each Directorate is attached at Appendices 1 to 4.

The financial implications of the Programme are reflected in the Council's Medium Term Financial Strategy (MTFS) and Treasury Management and Investment Strategy.

	2014/15 Revised Estimate	2014/15 Variance from Last Report	2015/16 Estimate	2015/16 Variance from Last Report	2016/17 Estimate	2016/17 Variance from Last Report
Directorate	£m	£m	£m	£m	£m	£m
Children & Young People's Service	11.896	-0.138	9.263	+1.227	2.694	0
Environment & Development Services	28.821	-0.579	16.089	+5.431	3.929	+2.729
Neighbourhoods & Adult Services	36.449	-0.651	30.606	+1.093	27.631	-0.431
Resources	2.749	-0.430	0.671	-0.331	1.283	+0.281
TOTAL	79.915	-1.798	56.629	+7.420	35.537	+2.579

This updated programme has been prepared in light of the capital resources known to be available to the Council over these financial years, and estimated on a prudent basis.

The Council is continuing to undertake a comprehensive review of its assets and buildings portfolio, with the aim to rationalise both its operational and non-operational asset holdings, which may contribute both a future capital receipt and a revenue saving.

7.2 Children and Young People's Services Capital Programme 2014/15 to 2016/17

The revised proposed spend for 2014/15 is £11.896m, with a further £11.957m of investment in the remaining two years of the current programme.

A copy of the current full programme is attached to this report at Appendix 1. Commentary on the main aspects of the programme and the nature of the spend is given below, including schemes at several schools across the Borough required to address the increase in pupil numbers, including the central Rotherham catchment areas where the problem is particularly acute.

Primary Schools

Spend on Primary Schools is estimated to be £5.594m in 2014/15, with a further £5.249m of planned spend in 2015/16 to 2016/17. The major investments to note in this area are:

- Work was completed in April 2014 on the **Autism Resource at Flanderwell Primary School** (£0.205m in 2014/15). This resource will cater for 10 pupils who will benefit from a purpose built facility.
- Works have now been completed on the expansion of **Wath CE Primary School** (£1.085m in 2014/15). This project has seen the renovation of existing classroom and toilet areas.
- Works have now been completed at **Dalton Listerdale Junior & Infant School** (£1.266m in 2014/15). This project has seen a permanent expansion of the school, creating a further four classrooms.
- Works have now been completed at **Badsley Moor Infants School** (£0.212m in 2014/15) to construct an extension to form a new main entrance area to the school, together with the conversion of classrooms to form an IT suite and administrative areas. Works have also been carried out to the dining room of £0.023m, funded from the Universal Free School Meals grant, which is discussed in more detail below.
- Works have now commenced on site at the **New Central Primary School** to be located on Eldon Road Allotment site (£1.500m in 2014/15 and £4.889m in 2015/16). At the meeting of 16th September 2014, Cabinet Member for Children and Education Services approved a tender from Wildgoose Construction for this work. This has led to an increase in the 2015/16 budget for this project of £1.050m. This project will be the subject of a further Cabinet report, as other budgetary pressures arising from the relocation of the allotments and fit out costs, are currently being reviewed. Works are programmed to complete by September 2015.

- Work was completed at the beginning of September on an additional one classroom block at **Brampton Ellis Junior School** (£0.186m in 2014/15).
- Work was completed at the end of August on an extension to form an additional classroom facility at **Thurcroft Infants School** (£0.128m in 2014/15).
- The Council has received grant funding of £0.600m in 2014/15 in respect of works required to meet the Government's requirement to provide **Free School Meals** to infant school pupils. The monies are being spent on capital works to kitchens, including extensions and new kitchen equipment.
- Works have been undertaken at **Rawmarsh St. Mary's** to allow the facility to be used as a Pupil Referral Unit (PRU); (£0.069m in 2014/15). These works are being funded by borrowing, the costs of which are being covered by the Directorate.

Secondary Schools

- **Maltby Academy** (£1.006m in 2014/15). The Council continues to have an interest in the buildings until finalisation of the proposed long term lease of the assets to the Academy and is providing professional and technical support for the project. Building works have now been completed and the school have taken possession of the new buildings.
- Works have now commenced on the 18 block classroom extension at **Wickersley School and Sports College** (£1.600m in 2014/15 and £1.303m in 2015/16). This work had been delayed owing to the need to obtain approval from the PFI funders. The work, which is required in order to address an increase in pupil numbers, is now due to be completed for October 2015.

Other Projects

The other major investments to note are:

- Using Government funding minor enhancement works are carried out at schools. The spend on the **Capitalised Minor Enhancements** programme in 2014/15 is estimated to be £2.019m, these works include:
 - Refurbishment works at The Bridge, required as the facility is being brought under the control of Newman School.
 - Roofing works at Redscope Primary School.
 - An extension and refurbishment works at Rawmarsh Sandhill Primary.
 - Replacement windows at Swinton Comprehensive School.
 - Replacement windows at Kiveton Park Meadows Junior School.

- Repair works and alterations to the School Hall and School House at Wales Primary.
- A further £3.6m is due to be spent on similar schemes in the remaining years of this programme.
- **Devolved Formula Capital Grant (DFCG)** is paid annually to schools for them to use on small capital projects. In 2014/15 £1.176m is estimated to be spent with a further £1.368m to be allocated in subsequent years.
- Investment is continuing in the **Property Adaptations** programme (£0.262m in 2014/15), which will deliver greater capacity in terms of fostering placement.
- Investment is also continuing in the **Entitlement for Early Years Provision** project (£0.079m in 2014/15 and £0.187m in 2015/16), which will allow 2 year olds to take up free early education entitlement. The spend has been re-profiled to reflect changes in the childcare market, which has led to a review of the method of allocating the capital grant. This will be the subject of a further Cabinet report.

Environment and Development Services (EDS) Capital Programme 2014/15 to 2016/17

The revised 2014/15 forecast programme is **£28.821m**, with total planned expenditure over the remaining period of the programme of **£20.018m**. A copy of the full programme is attached to this report at Appendix 2. Commentary on the main aspects of the EDS programme, that are contributing to the regeneration of the Borough and the enhancement of its infrastructure and the changes to planned spend, are shown below. The presentation of the report has changed this quarter, and is now consistent with the Directorate structure.

Planning, Regeneration & Cultural Services

Customer & Culture Services (£0.759m in 2014/15 nothing programmed beyond)

- **Catcliffe Glass Cone**, the emergency work on the tower is now complete (£0.027m). The project came in under budget as only work on essential maintenance was completed, the cone is now safe and secure. Further work on the ground would be required if access was to be given to the general public, this is not seen as an option at the moment.
- In view of the asbestos and re-wiring issues encountered during initial investigations at **Wath Library**, the building has been included in a full review of Wath town centre properties that are of similar construction. This review will determine whether the project should

proceed in 2014/15. £0.155m has been assumed in the 2014/15 capital programme at this stage.

- Library and Customer Services have been working with **Brinsworth** Parish Council and colleagues in Asset Management for some time to develop options to sustain and improve the **library** service within the area. Following consultation within the local community, the Parish Council are leading on and have submitted bids for external funding to support the development. In addition there is an existing Section 106 allocation of £124,800 allocated to the delivery of library services within Brinsworth. £0.499m has been assumed in the 2014/15 capital programme at this stage and progress will be closely monitored.
- Work has been carried out in a number of locations, including Dinnington and Swinton, following the co-location of libraries and customer service centres. Active prioritised projects cover sites across the Borough and are dependent upon public stakeholder consultation. **Library facilities** (£0.078m in 2014/15).

Regeneration (£1.363m in 2014/15 nothing programmed beyond)

- **Rotherham Townscape Heritage Initiative (THI)** (£1.017m in 2014/15) continues to deliver improvements to the town centre, investing in the renovation of shop frontages, structural works and roof replacements. Works being undertaken include The Three Cranes, George Wright Building and 29-29a High Street, which are expected to be completed by March 2015. Work on these projects has involved significant structural repair to the building fabric, along with the reinstatement of architectural details.
- The **High Street Public Realm** (£0.335m in 2014/15) improvements to the street itself have also taken place in 2014/15, with the final section to start once scaffolding to the last building is removed.

Business Retail & Investment (£4.420m in 2014/15 nothing programmed beyond)

- The full purchase of two new business investment units at **R-Evolution** on the Advanced Manufacturing Park, from Harworth Estates, was completed on the 26th November 2014 (£4.331m in 2014/15). The Council has accepted an offer from X-Cel Superturn (GB) Limited for Unit 3 and is currently finalising the sale. It is hoped that this will be completed before Christmas. An offer has also been received for Unit 4, and this will be the subject of a further Cabinet Report.

Streetpride Services

Leisure & Community Services (£0.714m in 2014/15 £0.359m in 2015/16)

- **Caged Vehicle Replacement** (£0.312m 2014/15) Purchase of 10 caged vehicles, used to support litter bin emptying and litter picking operations, and 1 HIAB vehicle used to support fly tip removal operations.
- **Firsby Reservoir Phase 2** (£0.043m 2014/15, £0.082m 2015/16) Works to discontinue Firsby Reservoir have started addressing the siltation problems at the head of the reservoir, and will then move on to address access problems. Works under the direction of the reservoir engineer are still being discussed, and are now planned for the spring, so the project will now run over two financial years.
- **Rother Valley Country Park Facilities** (£0.241m 2014/15) This project to deliver a new classroom and cycle centre in the park is almost complete.
- **Thrybergh Country Park Phased Improvements** (£0.036m 2014/15, £0.100m 2015/16) This project will provide a new indoor soft play area and ice cream parlour, an extension of the outdoor play facility, and remodelling of the car park, café front and lakeside.

Network Management (£7.602m 2014/15, £4.854m 2015/16, £3.402m 2016/17)

- **Street Lighting** (£2.783m 2014/15) Includes the LED Lanterns (£1.208m 2014/15) and column replacement programme (£0.550m 2014/15). Two schemes to improve the lighting infrastructure and reduce energy costs.
- Protracted negotiations over the **Drainage Works on Don Street** are on-going. There are a number of works being considered including the flood defence and the section 278 road improvements, whereby other funding or partnership contributions are being negotiated. Service estimate that the earliest start for the works will now be April 2015 (£0.627m 2015/16). Therefore the works have been slipped in the programme.
- Flood alleviation schemes are almost complete in **Wath upon Dearne** (£0.345m in 2014/15), which is replacing defective trash screens at the head of the culverted watercourses, with more appropriately designed and maintained screens.
- **Aston, Aughton and Swallownest Phase 1** scheme (£0.204m in 2014/15) which is providing individual flood protection to thirty properties and involves the replacement of defective screens at the head of the culverted water course. Works are due for completion at the end of December 2014.
- **Aston, Aughton and Swallownest Phase 2** scheme. The Environment Agency has given permission to carry out a phase 2 of

the scheme. The works will follow on from phase 1 and should commence in January 2015, to be completed by the end of March 2015 (£0.145m 2014/15).

- **Highways Delivery** (£4.092m 2014/15). The Local Transport Plan (LTP) maintenance block settlement for 2015/16 to 2020/21 has not been finalised by Government and disseminated to local authorities as yet. It is anticipated that RMBC will receive 75% of the allocation as of right, which is likely to be around the existing settlement value. The remaining 25% is likely to be a competitive bidding process, with no guarantees of success.

Transportation & Highways (£13.049m 2014/15, £5.112m 2015/16, £0.527m 2016/17)

Funding in 2015/16 is only indicative at the moment with the LTP allocation currently at £1.146m and STEP 2 Access to Employment, Local Sustainable Transport Fund (LSTF) at £0.500m. The STEP 2 programme aims to deliver exemplar sustainable transport. The funding will be used to deliver improved walking and cycling access into Rotherham Town Centre and highway improvements on the A630 Centenary Way around Rotherham Town Centre.

There has been no indication from the PTE as to what funding they may provide, and 2016/17 figures for all funding have yet to be released.

- **Connectivity** (£1.694m 2014/15) Significant funds have been allocated towards improving the canal towpath between Sheffield and Rotherham, improvements to High Street to make the route accessible to cyclists, which is also linked to the THI scheme, and the provision of a controlled pedestrian crossing on Fenton Road for pedestrians and cyclists, with improvements to adjacent footways and bus stops.
- **Network Management** (£0.672m 2014/15) The key project is the improvement of the approach to Rotherway roundabout, to create a third lane on the approach from Canklow, as well as on the circulatory carriageway of the roundabout. There are also funds for demand management schemes, such as residents' only parking on the Duke of Norfolk estate, adjacent to the hospital.
- **Local Safety Schemes** (£0.782m 2014/15) Funds have been allocated to delivering improvements at Nether Haugh, to address accident issues, together with works at Upper Haugh. There are also works linked to the maintenance of the highway at Ferham Road, Masbrough.
- **Bus Projects** (£2.031m 2014/15) Works are focussed around improving journey times and reliability on the A633 between Rotherham town centre and Rawmarsh. Improvements have been carried out in the Parkgate district centre, with further works

proposed at Taylors Lane roundabout, Retail World and Rotherham Road near the former 'Ruscon' site.

- **Smarter Choices** (£0.223m 2014/15) These projects involve the South Yorkshire trial of a hydrogen powered vehicle and the provision of cycle shelters in schools and places of work.
- The **A57 Improvement Scheme** (£1.300m in 2014/15) Completion of the scheme was certified on the 12th May 2014. The project has provided a dual carriageway and new roundabout, together with associated shared use footways and cycleways, including a new pedestrian, cyclist and horse signalled crossing. It is anticipated that some of the compensations for land acquisitions will not be agreed and paid until 2015/16 and landscape/planting mitigation works are to be delayed until the summer.
- Pinch Point **Pool Green roundabout** (£4.032m 2014/15). Replacement of the Main Street Roundabout with a signalled junction. The most significant elements of the scheme are the widening of the Centenary Way approaches to 3 lanes, and accommodating the right turn movements from Main Street and Centenary Way. Works are underway.
- Pinch Point **Old Flatts Bridge** (£2.175m 2014/15). Replacement of "Old Flatts Bridge" on the A630 Sheffield Parkway. Works commenced on the 29th September with a 56 week programme scheduled.

Audit & Asset Management

Corporate Property Unit (£0.913m 2014/15 nothing programmed beyond)

- The **Ancillary Services Building** project (£0.195m in 2014/15) has completed, leading to the relocation of the York and Lancaster Regimental Museum and works to improve customer access and the overall visitor experience.
- Work on the **Bailey House Renovation** project (£0.289m in 2014/15) continues. Work is to be carried out to address issues which include the leaking plant room roof, the heating of offices and the replacement of fire doors. The intention is to then move services from both Station Road and Canklow Depots, allowing those facilities to be closed. The overall cost of the works has increased by £0.034m. This is as a result of unexpected additional electrical works to up-grade the emergency lighting system, the removal of asbestos and additional works to replace fire doors. These additional works are to be funded from revenue savings from closing Station Road.
- The **Demolition of Former Council Offices** (£0.115m in 2014/15) on Doncaster Gate is complete, this will help enhance the value of

the site for future disposal and mitigate ongoing maintenance and security costs.

- **Aston CSC works** to accommodate Dinnington MacAlloy Staff (£0.280m 2014/15). This involves the adaptation of the Aston Joint Service Centre to accommodate additional staffing; the works will generate revenue savings of £40,000 per year. This work is now complete.

Neighbourhoods and Adults Services Capital Programme 2014/15 to 2016/17

The forecast spend for 2014/15 is £36.449m, with a further £58.237m planned in the remainder of the programme. A copy of the full revised programme is attached to this report at Appendix 3.

Adult Services

The Service is estimated to spend £1.178m in 2014/15, the main projects being:

- The **Assistive Technology** scheme continues to its completion (£0.460m in 2014/15). This will enable people requiring care support services to live independently within their own homes through the purchase of telecare equipment. This equipment includes fall detectors and monitoring alarms.
- The **REWS (Rotherham Equipment and Wheelchair Service) Equipment** programme continues to its completion (0.190m in 2014/15). This involves the purchase of equipment, after Occupational Therapist assessment, to support people within their own homes. Equipment will include a range of specialist bath and shower aids and mattresses and will be managed by Rotherham Foundation Hospital Trust.
- **Rothercare Alarms** – The replacement of 700 existing alarms, with approval having been given to upgrade the existing community alarm units (purchase 4,500 units at a cost of £0.526m) in 2014/15.

Neighbourhoods Services

For 2014/15 the Service is estimated to spend £35.271m with a further £58.237m to be invested during the remaining period of the current programme.

A copy of the existing programme is attached to this report at Appendix 3 and the most notable items are detailed below.

Improving Council Housing & Housing Services - The programme for 2014/15 is estimated to spend £29.680m. Notable current and planned investments in this area are:

Refurbishment Works (£12.986m in 2014/15). This budget is funding works for internal and external refurbishments to properties. Internal works include elements such as new kitchens and bathrooms. External elements include re-roofing, external render, fascia's, soffits & bargeboard replacements and outhouse improvements.

Environmental Works (£1.719m in 2014/15) – This budget will fund a variety of projects throughout the Borough, currently subject to consultation with tenants and members. Works funded through this scheme will include bin store improvements, shrub bed enhancements, off street parking and footpath re-surfacing. The increase in funding from the previous report is to cover additional schemes now to be delivered within the current financial year, namely security improvements at Wharncliffe Flats.

Decent Homes Void Programme (£2.700m in 2014/15). This budget is to fund major voids where the cost exceeds £4,000. This often occurs when a previous tenant has refused decency works, so properties require new kitchens and bathrooms prior to re-letting. The reduction in budget from the previous report is due to lower volumes of major voids.

The **Replacement of Central Heating** programme (£3.761m in 2014/15). There is an ongoing programme of Central Heating replacements in order to reduce the revenue burden, as a result of increasing repairs to Buderus and Alpha boilers.

The **New Integrated Housing Management IT System** (£0.274m in 2014/15 and £0.169m in 2015/16). This budget is to fund the purchase and implementation of the new integrated Housing Management System. Cabinet member for Safe and Attractive Neighbourhoods has approved additional costs of £0.241m to be funded from the HRA, at the meeting of 16 June 2014. The budget was not amended in the previous report, as it was felt that the costs could have been funded from slippage elsewhere within the HRA programme. This position has now been updated.

Non-traditional Investment (£1.400m in 2014/15). This budget is to complete the external refurbishment and insulated render works to non-traditional properties. This is part of an ongoing programme to extend the life of non-traditional stock by circa 25 years.

Strategic Acquisitions (£1.537m in 2014/15 and £1.299m in 2015/16). This funding is part of a multiyear commitment to acquire properties to add to the council's social housing stock through the 30 Year HRA Business Plan. The Council purchased 6 properties in June 2014 at Willow Tree Way, Wickersley at a cost of £0.434m. The budget in respect of the Barber's Avenue development has been reprofiled into 2015/16. HCA grant of £0.437m has been received in respect of this development.

Fair Access To All: Disabled Adaptations (£4.094m in 2014/15) – This will fund the ongoing provision of disabled adaptations to council and private dwellings. At the end of September 2014 spend on public sector adaptations was £0.722m with a total of 254 completions. For the same period, spend on private sector adaptations was £0.827m with 177 completions. Eligibility criteria are being reviewed, as there is likely to be pressure on this service in the future.

Investment into **Neighbourhood Regeneration & Renewal** (£1.429m in 2014/15) is continuing with the most notable projects being:

Canklow Phase 1 & 2 (£0.351m in 2014/15, £0.370m in 2015/16). This is a multi-year programme of activity to regenerate an area within Canklow through Housing Market Renewal. The project is focused on demolition, buy back and refurbishment of public and private sector properties in the area. The re-profiling of the budget is due to delays in the acquisition and demolition of the remaining properties on the site.

Bellows Road, Rawmarsh, Service Centre Clearance (£0.400m in 2014/15). This is an ongoing Housing Market Renewal scheme and includes the construction of new shop units and the provision of new housing within the area. At the present time a planning application and lease agreement are pending for the re-location of a telephone mast. Asbestos surveys and removal are all outstanding on the multi-storey car park and require completion prior to demolition. As a result, £0.192m of the budget has been slipped into 2015/16.

Garage Site Investment (£0.250m in 2014/15) - This will fund improvement works to garage sites across the Borough. Works will include re-surfacing to the highway, re-roofing, new doors and general environmental improvements.

Resources Capital Programme 2014/15 to 2016/17

The Council continues to invest in its **ICT infrastructure** as part of its ICT Strategy, with £2.194m estimated to be spent in 2014/15 and a further £0.940m estimated to be spent in the following 2 years. The figure has increased from the previous report, as it now includes some schools' IT spend, funded by school contributions. The Strategy is focussed on ensuring the Council is able to support effectively the services it delivers and promote new, innovative, ways of working that will result in greater efficiencies and effectiveness. The most notable projects are:

- **Developing Customer Access and On-line Self Service.** Making customers' data available on-line in a secure way to improve customer service and realise efficiencies by moving transactions from more expensive contact channels.
- **Upgrading Key Financial Management Systems** to support ongoing improvements to the Council's financial management capability.

- The **Electronic Document Records Management** project, designed to enable staff to access the information they need to do their jobs from any location and to reduce the amount of paper document storage.
- The **Migration of the Council's Data Network** to new providers and the deployment of new networking equipment, enabling continued delivery of faster broadband services.

Other Resources expenditure includes a further £300,000 secured capital loan facility and a £190,000 capital grant for the ongoing High Street re-development in the Town Centre.

In addition, the Cabinet meeting of 9th July 2014 agreed to underwrite a total of £1.596m, along with a contingency budget of £0.124m, spread over the next three years, in respect of the Broadband Delivery UK (BDUK) Project, which will further enhance the provision of high speed broadband across South Yorkshire. At this stage it is expected that SCR Infrastructure Funding will be available for this project, but approval for the use of this funding will not be known until early in the New Year. This spend has been reprofiled to reflect the fact that contributions from DCMS will be made first. It is estimated that £0.050m will be incurred in 2014/15 on consultants fees in respect of the procurement, this represents Rotherham's 25% share.

7.3 Funding of the Programme

The table shown below outlines the funding strategy associated with the schemes profiled above and detailed in the Appendices 1 to 4.

Funding	2014/15 Estimate	2014/15 Variance from Last Report	2015/16 Estimate	2015/16 Variance from Last Report	2016/17 Estimate	2016/17 Variance from Last Report
	£m	£m	£m	£m	£m	£m
Grants & Contributions	32.269	-0.738	14.743	+5.855	6.373	+2.750
Unsupported Borrowing	11.143	-4.892	13.537	+0.461	2.533	+0.281
Usable Capital Receipts	6.187	+3.773	1.649	+1.317	1.100	+0.768
Major Repairs Allowance (HRA)	21.568	+0.002	20.561	-0.303	19.444	-1.220
Revenue Contributions	8.742	+0.051	6.139	+0.090	6.087	0
Total	79.915	-1.798	56.629	+7.420	35.537	+2.579

7.3 Amount of Capital Expenditure on a Ward Basis

The table shown below shows the expenditure associated with the schemes profiled above, and detailed in the Appendices 1 to 4, on a Ward basis.

	2014/15 Previous Report	2014/15 Revised Estimate	2014/15 Variance to Previous Report	2015/16 Previous Report	2015/16 Revised Estimate	2015/16 Variance to Previous Report	2016/17 Previous Report	2016/17 Revised Estimate	2016/17 Variance to Previous Report
ANSTON & WOODSETTS	332	372	41	0	0	0	0	0	0
BOSTON CASTLE	8,038	6,864	-1,174	925	1,942	1,017	0	0	0
BRINSWORTH & CATCLIFFE	3,129	7,099	3,970	1,744	1,744	0	0	0	0
DINNINGTON	171	170	-1	0	0	0	0	0	0
HELLABY	2,089	2,144	55	1,303	1,303	0	0	0	0
HOLDERNESS	1,021	1,103	82	100	169	69	0	0	0
HOOBER	186	1,324	1,138	0	0	0	0	0	0
KEPPEL	300	459	159	0	0	0	0	0	0
MALTBY	1,054	1,011	-43	0	0	0	0	0	0
RAWMARSH	2,135	2,172	37	1,268	1,460	192	160	160	0
ROTHER VALE	438	387	-51	0	53	53	0	0	0
ROTHERHAM EAST	1,739	1,840	101	3,839	4,889	1,050	0	0	0
ROTHERHAM WEST	2	76	74	0	0	0	0	0	0
SILVERWOOD	129	273	144	0	182	182	0	0	0
SITWELL	0	195	195	0	0	0	0	0	0
SWINTON	10	135	125	0	0	0	0	0	0
VALLEY	1,364	1,374	10	0	0	0	0	0	0
WALES	795	1,097	302	250	250	0	0	0	0
WATH	1,631	628	-1,003	0	35	35	0	0	0
WICKERSLEY	205	271	66	60	50	-10	0	0	0
WINGFIELD	47	17	-30	0	45	45	0	0	0
ALL WARDS	56,898	50,904	-5,994	39,720	44,507	4,787	32,798	35,377	2,579
TOTAL	81,713	79,915	-1,798	49,209	56,629	7,420	32,958	35,537	2,579

8. Financial Implications

These are contained within the body of this report. Any revenue implications from the revised programme have been fully reflected in the Council's latest 2014/15 revenue forecast and its updated Medium Term Financial Strategy.

9. Risks & Uncertainties

The Capital Programme is funded through a number of sources: borrowing (both supported and unsupported), capital grants and contributions, revenue contributions and capital receipts. Any uncertainty over the funding of the Programme rests on confirmation that grants/contributions and capital receipts continue to be available in coming years. Where funding sources are volatile in nature, the risks will be managed by continually keeping the programme under review.

10. Policy and Performance Agenda Implications

The preparation of the Medium Term Financial Strategy incorporating a profiled capital programme and the associated revenue consequences, together with regular monitoring, highlights the Council's commitment to sound financial management.

11. Background Papers and Consultation

- Capital Programme 2014/15 to 2016/17
- Project / Scheme monitoring reports
- Monitoring returns and budget setting details from Directorates.

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Appendix 1

CHILDREN AND YOUNG PEOPLE'S CAPITAL PROGRAMME 2014/15 - 2016/17

FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT

	2014/15 Estimate	2014/15 Revised	2014/15 Variance to Previous Report	2015/16 Estimate	2015/16 Revised	2015/16 Variance to Previous Report	2016/17 Estimate	2016/17 Revised	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
PRIMARY									
WEST MELTON PRIMARY MODULAR	0	2	2						
MALTBY LILLY HALL	54	54	0						
KILNHURST ST THOMAS EXTRA CLASSROOM	10	10	0						
HERRINGTHORPE INFANT & JUNIOR SCHOOLS EXPANSION	98	98	0						
ASTON HALL INFANT & JUNIOR SCHOOL EXPANSION	98	50	-48						
FLANDERWELL PRIMARY AUTISM RESOURCE	205	205	0						
BROOM VALLEY COMMUNITY PRIMARY SCHOOL EXPANSION	29	6	-23						
BRINSWORTH HOWARTH PRIMARY SCHOOL - MODULAR CLASSROOMS	3	3	0						
BRAMLEY SUNNYSIDE INFANT SCHOOL RENOVATION	0	10	10	60	50	-10			
WATH C OF E PRIMARY SCHOOL EXPANSION	1,070	1,085	15						
DALTON LISTERDALE JUNIOR AND INFANT SCHOOL EXPANSION	1,266	1,266	0						
KIVETON PARK MEADOWS JUNIOR SCHOOL EXPANSION	52	35	-17						
WALES PRIMARY EXPANSION - MODULAR (Ph 1)	5	10	5	0	0	0			
WALES PRIMARY EXPANSION - SCHOOL HOUSE/FOUNDATION STAGE UNIT (Ph 2)	88	88	0						
WALES PRIMARY EXPANSION - ADDITIONAL BULGE CLASSROOM (Ph 3)	0	0	0	150	150	0			
BADSLEY MOOR INFANTS RECEPTION ALTERATIONS	212	212	0						
AUTHORITY NEW SCHOOL (ELDON ROAD)	1,500	1,500	0	3,839	4,889	1,050			
RAWMARSH MONKWOOD PRIMARY SCHOOL EXPANSION - MORE LIKELY THOROGATE	0	0	0				160	160	0
THURCROFT INFANTS	128	128	0						
BRAMPTON ELLIS JUNIOR	186	186	0						
UNIVERSAL FREE SCHOOL MEALS	577	577	0						
ST MARYS PRU (New)	0	69	69						
SECONDARY									
SUPPORT TO SCHOOLS	100	100	0	100	100	0	50	50	0
MALTBY ACADEMY	1,006	1,006	0						
CLIFTON SCHOOL CARETAKERS CONVERSION	27	27	0						
WICKERSLEY SSC NEW BLOCK	1,600	1,600	0	1,303	1,303	0			
WATH PFI	0	22	22						
SPECIALS									
CITY LEARNING CENTRES									
CLC RAWMARSH	6	6	0						
CAPITALISED MINOR ENHANCEMENTS	2,003	2,019	16	1,800	1,800	0	1,800	1,800	0
OTHER SCHEMES									
DFCG	1,177	1,176	-1	734	734	0	634	634	0
KIMBERWORTH CO-LOCATION	1	1	0						
PROPERTY ADAPTATIONS	262	262	0	50	50	0	50	50	0
ENTITLEMENT FOR EARLY YEARS PROVISION (TWO YEAR OLDS)	266	79	-187	0	187	187			
ORCHARD CENTRE - CONSERVATORY	1	0	-1						
KILNHURST PRIMARY SCULLERY	4	4	0						
CYPS CAPITAL PROGRAMME	12,034	11,896	-138	8,036	9,263	1,227	2,694	2,694	0

	2014/15 Estimate	2014/15 Revised	2014/15 Variance to Previous Report	2015/16 Estimate	2015/16 Revised	2015/16 Variance to Previous Report	2016/17 Estimate	2016/17 Revised	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
SOURCES OF FUNDING									
SUPPORTED CAPITAL EXPENDITURE (REVENUE)		6	6						
GRANTS AND CONTRIBUTIONS	11,762	11,539	-223	5,976	6,963	987	2,644	2,644	0
REVENUE CONTRIBUTION	10	20	10	10	0	-10			0
USABLE CAPITAL RECEIPTS			0			0			0
PRUDENTIAL BORROWING	262	331	69	2,050	2,300	250	50	50	0
EARMARKED RESERVES			0			0			0
MAJOR REPAIRS ALLOWANCE			0			0			0
CYPS CAPITAL PROGRAMME	12,034	11,896	-138	8,036	9,263	1,227	2,694	2,694	0

CYPS CAPITAL INVESTMENT BY WARD 2014/15 - 2016/17

	2014/15 Estimate	2014/15 Revised	2014/15 Variance to Previous Report	2015/16 Estimate	2015/16 Revised	2015/16 Variance to Previous Report	2016/17 Estimate	2016/17 Revised	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
ANSTON & WOODSETTS	0	41	41	0	0	0	0	0	0
BOSTON CASTLE	29	19	-10	0	0	0	0	0	0
BRINSWORTH & CATCLIFFE	3	67	64	0	0	0	0	0	0
DINNINGTON	0	45	45	0	0	0	0	0	0
HELLABY	1,654	1,676	22	1,303	1,303	0	0	0	0
HOLDERNESS	98	104	6	0	0	0	0	0	0
HOOBER	186	1,324	1,138	0	0	0	0	0	0
KEPPEL	0	159	159	0	0	0	0	0	0
MALTBY	1,006	1,011	5	0	0	0	0	0	0
RAWMARSH	6	235	229	0	0	0	160	160	0
ROTHER VALE	128	130	2	0	0	0	0	0	0
ROTHERHAM EAST	1,739	1,840	101	3,839	4,889	1,050	0	0	0
ROTHERHAM WEST	2	76	74	0	0	0	0	0	0
SILVERWOOD	4	194	190	0	0	0	0	0	0
SITWELL	0	195	195	0	0	0	0	0	0
SWINTON	10	135	125	0	0	0	0	0	0
VALLEY	1,364	1,374	10	0	0	0	0	0	0
WALES	145	447	302	150	150	0	0	0	0
WICKERSLEY	205	271	66	60	50	-10	0	0	0
WINGFIELD	0	15	15	0	0	0	0	0	0
ALL WARDS	4,385	2,477	-1,908	2,684	2,871	187	2,534	2,534	0
CYPS CAPITAL PROGRAMME	10,964	11,834	870	8,036	9,263	1,227	2,694	2,694	0

APPENDIX 2

EDS PLANNING, REGENERATION & CULTURAL SERVICES CAPITAL PROGRAMME 2014/15 - 2016/17
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
CUSTOMER & CULTURAL SERVICES									
BRINSWORTH LIBRARY	499	499	0	0	0	0	0	0	0
STRATEGIC REVIEW OF LIBRARIES	78	78	0	0	0	0	0	0	0
WATH LIBRARY REFURBISHMENT	155	155	0	0	0	0	0	0	0
CATCLIFFE GLASS CONE	45	27	-18	0	0	0	0	0	0
MALTBY LIFT	48	0	-48	0	0	0	0	0	0
REGENERATION									
ROTHERHAM TOWNSCAPE HERITAGE INITIATIVES	1,017	1,017	0	0	0	0	0	0	0
HIGH STREET PUBLIC REALM	335	335	0	0	0	0	0	0	0
TOWN CENTRE POPPED ART PROJECT	11	11	0	0	0	0	0	0	0
BUSINESS RETAIL & INVESTMENT									
R-EVOLUTION	4,000	2,407	-1,593	0	0	0	0	0	0
R-EVOLUTION UNIT 4	0	1,924	1,924	0	0	0	0	0	0
TOWN CENTRE BUSINESS VITALITY SCHEME-PRIVATE PROPERTIES	74	74	0	0	0	0	0	0	0
TOWN CENTRE BUSINESS VITALITY SCHEME-RMBC PROPERTIES	15	15	0	0	0	0	0	0	0
PLANNING REGEN & CULTURAL SERVICES CAPITAL PROGRAMME	6,277	6,542	265	0	0	0	0	0	0
SOURCES OF FUNDING									
SUPPORTED CAPITAL EXPENDITURE (REVENUE)	0	0	0	0	0	0	0	0	0
GRANTS AND CONTRIBUTIONS	590	569	-21	0	0	0	0	0	0
REVENUE CONTRIBUTION	28	0	-28	0	0	0	0	0	0
USABLE CAPITAL RECEIPTS	141	4,453	4,312	0	0	0	0	0	0
PRUDENTIAL BORROWING	5,518	1,520	-3,998	0	0	0	0	0	0
EARMARKED RESERVES	0	0	0	0	0	0	0	0	0
MAJOR REPAIRS ALLOWANCE	0	0	0	0	0	0	0	0	0
PLANNING REGEN & CULTURAL SERVICES CAPITAL PROGRAMME	6,277	6,542	265	0	0	0	0	0	0

EDS STREETPRIDE SERVICES PROGRAMME 2014/15 to 2016/17
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
LEISURE & COMMUNITY SERVICES									
CAGED VEHICLE HIAB REPLACEMENT	312	312	0	0	0	0	0	0	0
ALEXANDRA PARK	69	0	-69	0	69	69	0	0	0
GORDON BENNETT PLAY	69	16	-53	0	53	53	0	0	0
SANCTUARY FIELDS	52	52	0	0	0	0	0	0	0
MEMORIAL IN CLIFTON PARK (New)	0	10	10	0	20	20	0	0	0
WATH PARK CHILDRENS PLAY (New)	0	5	5	0	35	35	0	0	0
FIRSBY RESERVOIR PHASE 2	125	43	-82	0	82	82	0	0	0
ROTHER VALLEY COUNTRY PARK FACILITIES	241	241	0	0	0	0	0	0	0
THRYBERGH COUNTRY PARK PHASED IMPROVEMENTS (New)	0	36	36	0	100	100	0	0	0
NETWORK MANAGEMENT									
STREET LIGHTING	2,783	2,783	0	2,025	2,333	308	1,200	1,508	308
DRAINAGE									
GREEN ING CULVERT (New)	0	33	33	0	0	0	0	0	0
WATH UPON DEARNE FLOOD ALLEVIATION SCHEME	345	345	0	0	0	0	0	0	0
ASTON, AUGHTON & SWALLOWNEST PHASE 1	204	204	0	0	0	0	0	0	0
ASTON, AUGHTON & SWALLOWNEST PHASE 2	0	145	145	0	0	0	0	0	0
DRAINAGE WORKS DON STREET	627	0	-627	0	627	627	0	0	0
HIGHWAYS DELIVERY (MAINTENANCE)	4,092	4,092	0	0	1,894	1,894	0	1,894	1,894
TRANSPORTATION & HIGHWAYS									
BRIDGES	351	140	-211	0	527	527	0	527	527
CONNECTIVITY	1,694	1,694	0	0	346	346	0	0	0
NETWORK MANAGEMENT	672	672	0	0	400	400	0	0	0
LOCAL SAFETY SCHEMES	878	782	-96	0	370	370	0	0	0
BUS PROJECTS	2,031	2,031	0	0	0	0	0	0	0
SMARTER CHOICES	223	223	0	0	100	100	0	0	0
STEP 2 ACCESS TO EMPLOYMENT	0	0	0	0	500	500	0	0	0
MAJOR SCHEMES									
A57 IMPROVEMENTS	1,300	1,300	0	200	200	0	0	0	0
POOL GREEN ROUNDABOUT	4,032	4,032	0	925	925	0	0	0	0
OLD FLATTS BRIDGE	2,175	2,175	0	1,744	1,744	0	0	0	0
WASTE MANAGEMENT									
PFI RESIDUAL WASTE FACILITY	0	0	0	5,764	5,764	0	0	0	0
EDS STREETPRIDE SERVICES CAPITAL PROGRAMME	22,277	21,366	-911	10,658	16,089	5,431	1,200	3,929	2,729

SOURCES OF FUNDING	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
SUPPORTED CAPITAL EXPENDITURE (REVENUE)	0	0	0	0	0	0	0	0	0
GRANTS AND CONTRIBUTIONS	16,988	16,489	-499	1,496	6,330	4,834	0	2,729	2,729
REVENUE CONTRIBUTION	40	76	36	0	100	100	0	0	0
USABLE CAPITAL RECEIPTS	57	0	-57	0	0	0	0	0	0
PRUDENTIAL BORROWING	5,192	4,801	-391	9,162	9,659	497	1,200	1,200	0
EARMARKED RESERVES	0	0	0	0	0	0	0	0	0
MAJOR REPAIRS ALLOWANCE	0	0	0	0	0	0	0	0	0
EDS STREETPRIDE SERVICES CAPITAL PROGRAMME	22,277	21,366	-911	10,658	16,089	5,431	1,200	3,929	2,729

EDS AUDIT & ASSET MANAGEMENT CAPITAL PROGRAMME 2014/15 - 2016/17
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
CORP PROPERTY UNIT									
ANCILLARY SERVICES BUILDING	195	195	0	0	0	0	0	0	0
BAILEY HOUSE RENOVATION	255	289	34	0	0	0	0	0	0
DEMOLITION OF FORMER COUNCIL OFFICES	115	115	0	0	0	0	0	0	0
DEMOLITION OF INTERNATIONAL CENTRE	1	1	0	0	0	0	0	0	0
MALLOY - ASTON CSC	280	280	0	0	0	0	0	0	0
CCTV HELLABY DEPOT (NEW)	0	33	33	0	0	0	0	0	0
EDS AUDIT & ASSET MANAGEMENT CAPITAL PROGRAMME	846	913	67	0	0	0	0	0	0

SOURCES OF FUNDING	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
SUPPORTED CAPITAL EXPENDITURE (REVENUE)	0	0	0	0	0	0	0	0	0
GRANTS AND CONTRIBUTIONS	0	0	0	0	0	0	0	0	0
REVENUE CONTRIBUTION	0	33	33	0	0	0	0	0	0
USABLE CAPITAL RECEIPTS	0	0	0	0	0	0	0	0	0
PRUDENTIAL BORROWING	846	880	34	0	0	0	0	0	0
EARMARKED RESERVES	0	0	0	0	0	0	0	0	0
MAJOR REPAIRS ALLOWANCE	0	0	0	0	0	0	0	0	0
EDS AUDIT & ASSET MANAGEMENT CAPITAL PROGRAMME	846	913	67	0	0	0	0	0	0

SUMMARY EDS CAPITAL PROGRAMME 2014/15 - 2016/17

TOTAL EDS INVESTMENT	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
	29,400	28,821	-579	10,658	16,089	5,431	1,200	3,929	2,729

SOURCES OF FUNDING	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
SUPPORTED CAPITAL EXPENDITURE (REVENUE)	0	0	0	0	0	0	0	0	0
GRANTS AND CONTRIBUTIONS	17,578	17,058	-520	1,496	6,330	4,834	0	2,729	2,729
REVENUE CONTRIBUTION	68	109	41	0	100	100	0	0	0
USABLE CAPITAL RECEIPTS	198	4,453	4,255	0	0	0	0	0	0
PRUDENTIAL BORROWING	11,556	7,201	-4,355	9,162	9,659	497	1,200	1,200	0
EARMARKED RESERVES	0	0	0	0	0	0	0	0	0
MAJOR REPAIRS ALLOWANCE	0	0	0	0	0	0	0	0	0
EDS CAPITAL PROGRAMME	29,400	28,821	-579	10,658	16,089	5,431	1,200	3,929	2,729

EDS CAPITAL INVESTMENT BY WARD 2014/15 - 2016/17

EDS CAPITAL INVESTMENT BY WARD	2014/15 Previous Report £'000s	2014/15 Estimate £'000s	2014/15 Variance to Previous Report £'000s	2015/16 Previous Report £'000s	2015/16 Estimate £'000s	2015/16 Variance to Previous Report £'000s	2016/17 Previous Report £'000s	2016/17 Estimate £'000s	2016/17 Variance to Previous Report £'000s
ANSTON & WOODSETTS	332	332	0	0	0	0	0	0	0
BOSTON CASTLE	6,888	6,094	-794	925	1,572	647	0	0	0
BRINSWORTH & CATCLIFFE	3,126	7,032	3,906	1,744	1,744	0	0	0	0
DINNINGTON	0	0	0	0	0	0	0	0	0
HELLABY	0	33	33	0	0	0	0	0	0
HOLDERNESS	923	999	76	100	169	69	0	0	0
HOOBER	0	0	0	0	0	0	0	0	0
KEPPEL	0	0	0	0	0	0	0	0	0
MALTY	48	0	-48	0	0	0	0	0	0
RAWMARSH	0	0	0	0	0	0	0	0	0
ROTHER VALE	310	257	-53	0	53	53	0	0	0
ROTHERHAM EAST	0	0	0	0	0	0	0	0	0
ROTHERHAM WEST	0	0	0	0	0	0	0	0	0
SILVERWOOD	125	78	-47	0	182	182	0	0	0
SITWELL	0	0	0	0	0	0	0	0	0
SWINTON	0	0	0	0	0	0	0	0	0
VALLEY	0	0	0	0	0	0	0	0	0
WALLES	650	650	0	100	100	0	0	0	0
WATH	500	505	5	0	35	35	0	0	0
WICKERSLEY	0	0	0	0	0	0	0	0	0
WINGFIELD	0	0	0	0	0	0	0	0	0
ALL WARDS	16,498	12,840	-3,658	7,789	12,234	4,445	1,200	3,929	2,729
EDS CAPITAL PROGRAMME	29,400	28,821	-579	10,658	16,089	5,431	1,200	3,929	2,729

Appendix 3

**NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME 2014/15 - 2016/17
FINANCIAL SUMMARY STATEMENT**

CAPITAL INVESTMENT BY PROJECT	2014/15 Sept	2014/15	2014/15	2015/16	2015/16	2015/16	2016/17	2016/17	2016/17
	affirmed	revised	Variance to	Sept14	revised	Variance to	Sept14	revised	Variance to
	budget		Previous	affirmed		Previous	affirmed		Previous
	£'000s	£'000s	Report	budget	£'000s	Report	budget	£'000s	Report
ADULT SERVICES									
ASSISTIVE TECHNOLOGY	460	460	0						
REWS EQUIPMENT	190	190	0						
ROTHERHAM ALARMS	526	526	0						
TREEFIELDS LEARNING CENTRE - FENCING	2	2	0						
IMPROVING COUNCIL HOUSING & HOUSING SERVICES									
REFURBISHMENT	12,986	12,986	0	12,112	12,100	-12	12,148	11,900	-248
REPLACEMENT WINDOWS	211	211	0	0	0	0	0	0	0
ENVIRONMENTAL WORKS	1,612	1,719	107	1,500	1,100	-400	1,500	1,400	-100
DECENT HOMES VOID PROGRAMME	2,900	2,700	-200	2,950	2,600	-350	3,000	2,600	-400
REPLACEMENT OF CENTRAL HEATING	3,761	3,761	0	3,261	3,261	0	3,261	3,261	0
ELECTRICAL BOARD & BOND	150	120	-30	205	150	-55	210	150	-60
REPLACEMENT OF COMMUNAL DOORS (HIGH SECURITY)	891	891	0	500	500	0	500	0	-500
ASBESTOS TESTING	380	290	-90	400	370	-30	410	370	-40
FLAT DOOR REPLACEMENT	76	76	0	0	0	0	0	0	0
DISTRICT HEATING CONVERSIONS	1,800	1,800	0	1,000	1,200	200	1,000	1,000	0
BOUNDARY WALL TREATMENTS	100	140	40	625	0	-625	625	0	-625
GENERAL STRUCTURES	650	650	0	650	650	0	650	650	0
EXTERNAL INSULATION	50	25	-25	50	100	50	50	50	0
NEW IT SYSTEMS	274	274	0	0	169	169	0	0	0
NON-TRADITIONAL INVESTMENT	1,400	1,400	0	1,400	1,500	100	1,400	1,100	-300
STARTEGIC ACQUISITIONS	1,537	1,537	0	1,299	1,299	0	0	0	0
NEW BUILD DPU BUNGALOWS	300	300	0	200	200	0	0	0	0
ENABLING WORKS HRA LAND	100	0	-100	100	0	-100	100	0	-100
LADY OAK FLATS ENVIRONMENTS	400	500	100	0	0	0	0	0	0
SHELTERED HOUSING COMMUNAL AREA	100	300	200	0	500	500	0	500	500
FAIR ACCESS TO ALL									
DISABLED FACILITIES GRANT (PRIVATE SECTOR)	2,016	2,016	0	1,311	2,100	789	1,311	2,100	789
DISABLED ADAPTATIONS (PUBLIC SECTOR)	2,078	2,078	0	1,950	2,100	150	1,897	2,300	403
NEIGHBOURHOOD REGENERATION & RENEWAL									
GALLERY TOWN - DINNINGTON IMPROVEMENTS	1	1	0	0	0	0	0	0	0
CANKLOW PHASE 1 & 2	721	351	-370	0	370	370	0	0	0
BELLOWS ROAD SERVICE CENTRE CLEARANCE	592	400	-192	0	192	192	0	0	0
GARAGE SITE INVESTMENT	250	250	0	0	100	100	0	250	250
MONKSBRIDGE DEMOLITION DINNINGTON	80	80	0	0	0	0	0	0	0
DOE QUARRY LANE STREET SCENE	90	44	-46	0	0	0	0	0	0
FUEL POVERTY - VULNERABLE PEOPLE	303	303	0	0	0	0	0	0	0
NEIGHBOURHOODS IMPROVEMENTS NON-HIP PROGRAMME									
AIR QUALITY GRANT	7	7	0	0	0	0	0	0	0
LANDFILL SITES	106	61	-45	0	45	45	0	0	0
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	37,100	36,449	-651	29,513	30,606	1,093	28,062	27,631	-431

SOURCES OF FUNDING	2014/15 Sept	2014/15	2014/15	2015/16	2015/16	2015/16	2016/17	2016/17	2016/17
	affirmed	revised	Variance to	Sept14	revised	Variance to	Sept14	revised	Variance to
	budget		Previous	affirmed		Previous	affirmed		Previous
	£'000s	£'000s	Report	budget	£'000s	Report	budget	£'000s	Report
SUPPORTED CAPITAL EXPENDITURE (REVENUE)									
GRANTS AND CONTRIBUTIONS	3,162	3,115	-47	1,416	1,450	34	979	1,000	21
REVENUE CONTRIBUTION	8,513	8,513	0	6,039	6,039	0	6,087	6,087	0
USABLE CAPITAL RECEIPTS	2,216	1,734	-482	332	1,649	1,317	332	1,100	768
PRUDENTIAL BORROWING	1,643	1,519	-124	862	907	45	0	0	0
EARMARKED RESERVES			0	0	0	0	0	0	0
MAJOR REPAIRS ALLOWANCE	21,566	21,568	2	20,864	20,561	-303	20,664	19,444	-1,220
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	37,100	36,449	-651	29,513	30,606	1,093	28,062	27,631	-431

**NEIGHBOURHOODS & ADULT SERVICES
CAPITAL INVESTMENT BY WARD 2013/14 -
2015/16**

NEIGHBOURHOODS & ADULT SERVICES CAPITAL INVESTMENT BY WARD	2014/15 Sept	2014/15	2014/15	2015/16	2015/16	2015/16	2016/17	2016/17	2016/17
	affirmed	revised	Variance to	Sept14	revised	Variance to	Sept14	revised	Variance to
	budget		Previous	affirmed		Previous	affirmed		Previous
	£'000s	£'000s	Report	budget	£'000s	Report	budget	£'000s	Report
ANSTON & WOODSETTS			0	0	0	0	0	0	0
BOSTON CASTLE									
BRINSWORTH & CATCLIFFE	1,121	751	-370	0	370	370	0	0	0
DINNINGTON	171	125	-46	0	0	0	0	0	0
HELLABY	435	435	0	0	0	0	0	0	0
HOLDERNESS			0	0	0	0	0	0	0
HOOPER			0	0	0	0	0	0	0
KEPPEL	300	300	0	0	0	0	0	0	0
MALTSBY			0	0	0	0	0	0	0
RAWMARSH	2,129	1,937	-192	1,268	1,460	192	0	0	0
ROTHER VALE			0	0	0	0	0	0	0
ROTHERHAM EAST			0	0	0	0	0	0	0
ROTHERHAM WEST			0	0	0	0	0	0	0
SILVERWOOD			0	0	0	0	0	0	0
SITWELL			0	0	0	0	0	0	0
VALLEY			0	0	0	0	0	0	0
WALE			0	0	0	0	0	0	0
WATH	61	61	0	0	0	0	0	0	0
WICKERSLEY			0	0	0	0	0	0	0
WINGFIELD	47	2	-45	0	45	45	0	0	0
ALL WARDS	32,836	32,838	2	28,245	28,731	486	28,062	27,631	-431
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	37,100	36,449	-651	29,513	30,606	1,093	28,062	27,631	-431

RESOURCES CAPITAL PROGRAMME 2014/15 - 2016/17
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	SPEND AND FUNDING STATEMENT								
	2014/15 Previous Report	2014/15 Estimate	2014/15 Variance to Previous Report	2015/16 Previous Report	2015/16 Estimate	2015/16 Variance to Previous Report	2016/17 Previous Report	2016/17 Estimate	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
ICT									
ICT STRATEGY	151	203	52						
ICT STRATEGY (2)	1,526	1,526	0						
ICT REFRESH	465	465	0	470	470	0	470	470	0
RESOURCES									
ELECTORAL HARDWARE	15	15	0						
HIGH STREET DEVELOPMENT LOAN	300	300	0						
HIGH STREET DEVELOPMENT LOAN	190	190	0						
BD UK	532	50	-482	532	201	-331	532	813	281
RESOURCES CAPITAL PROGRAMME	3,179	2,749	-430	1,002	671	-331	1,002	1,283	281

SOURCES OF FUNDING	2014/15 Previous Report	2014/15 Estimate	2014/15 Variance to Previous Report	2015/16 Previous Report	2015/16 Estimate	2015/16 Variance to Previous Report	2016/17 Previous Report	2016/17 Estimate	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)								
GRANTS AND CONTRIBUTIONS	505	557	52						
REVENUE CONTRIBUTION	100	100	0						
USABLE CAPITAL RECEIPTS									
PRUDENTIAL BORROWING	2,574	2,092	-482	1,002	671	-331	1,002	1,283	281
MAJOR REPAIRS ALLOWANCE									
RESOURCES CAPITAL PROGRAMME	3,179	2,749	-430	1,002	671	-331	1,002	1,283	281

RESOURCES CAPITAL INVESTMENT BY WARD 2014/15 - 2016/17

RESOURCES CAPITAL INVESTMENT BY WARD	2014/15 Previous Report	2014/15 Estimate	2014/15 Variance to Previous Report	2015/16 Previous Report	2015/16 Estimate	2015/16 Variance to Previous Report	2016/17 Previous Report	2016/17 Estimate	2016/17 Variance to Previous Report
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
	ANSTON & WOODSETTS	0	0	0	0	0	0	0	0
BOSTON CASTLE	0	0	0	0	0	0	0	0	0
BRINSWORTH & CATCLIFFE	0	0	0	0	0	0	0	0	0
DINNINGTON	0	0	0	0	0	0	0	0	0
HELLABY	0	0	0	0	0	0	0	0	0
HOLDERNESS	0	0	0	0	0	0	0	0	0
HOOBER	0	0	0	0	0	0	0	0	0
KEPPEL	0	0	0	0	0	0	0	0	0
MALTRY	0	0	0	0	0	0	0	0	0
RAWMARSH	0	0	0	0	0	0	0	0	0
ROTHER VALE	0	0	0	0	0	0	0	0	0
ROTHERHAM EAST	0	0	0	0	0	0	0	0	0
ROTHERHAM WEST	0	0	0	0	0	0	0	0	0
SILVERWOOD	0	0	0	0	0	0	0	0	0
SITWELL	0	0	0	0	0	0	0	0	0
SWINTON	0	0	0	0	0	0	0	0	0
VALLEY	0	0	0	0	0	0	0	0	0
WALES	0	0	0	0	0	0	0	0	0
WATH	0	0	0	0	0	0	0	0	0
WICKERSLEY	0	0	0	0	0	0	0	0	0
WINGFIELD	0	0	0	0	0	0	0	0	0
ALL WARDS	3,179	2,749	-430	1,002	671	-331	1,002	1,283	281
RESOURCES CAPITAL PROGRAMME	3,179	2,749	-430	1,002	671	-331	1,002	1,283	281

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
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